CCFIL1 - AN INTERACTIVE SOFTWARE SYSTEM FOR DESIGN OPTIMIZATION OF IDEAL MULTI-COUPLED CAVITY FILTERS

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Abstract

CCFIL1 is an interactive software system for design optimization of ideal multi-coupled cavity filters of the narrow-band lossless type with resistive load. User-oriented features for data entry, editing and storage are implemented. The engineering specifications are taken directly as the optimization objectives and the network parameters as the optimization variables. The gain and phase responses may be optimized concurrently and the variable network parameters may be subjected to any required equality or inequality linear constraints. The design solution is found in the minimax sense by the MMLC optimization package running simultaneously with a tracking algorithm which judiciously selects sample frequency points by applying a cubic interpolation routine to the gain response. The package and documentation have been developed on the DEC VAX 11/780 system with the VMS 3.6 operating system and the FORTRAN 3.5 compiler.

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I. INTRODUCTION

This document provides a user-oriented description of the CCFIL1 software system, which effectively implements the algorithm for an interactive computer program for design optimization of ideal multi-coupled cavity filters presented by Bandler and Chen [1]. Efficient approaches to the simulation and sensitivity evaluation of such filters have been developed and discussed in a report [2] wherein various formulas and tables are presented in detail. The CCFIL1 system applies these formulas to the case of a narrow-band lossless type filter with resistive load.

The design optimization is performed by the MMLC package [3,4] which applies a gradient-based minimax method and allows the formulation of linearly constrained design variables. A cubic interpolation technique used to improve computational efficiency has also been incorporated with the optimization procedure.

The CCFIL1 system has been developed in Fortran 77 on the DEC VAX 11/780 system. At McMaster University it is available on the Engineering VAX in the form of binary executable code as a group file under the charge ZE050. The general sequence of VMS commands to use the package can be as follows:

\$ RUNH GR:CCFIL1

II. GENERAL DESCRIPTION

The network parameters that can be used as optimization variables are the coupling matrix elements, including the diagonal ones which may represent deviations from synchronous tuning, and the input and output transformer ratios. The optimization objectives are formulated directly from the gain and phase responses of the filter. The gain response is specified in terms of the reflection coefficient, or the transducer loss in units of decibels. Since the phase response of interest to the CCFIL1 system is the group delay variation within a certain frequency band, the response is specified in terms of the relative group delay in units of nanoseconds.

The general formulation of the design optimization problem has been provided by Bandler [5] and it essentially consists in minimizing a discrete set of error functions. Each error function is defined at a particular frequency as the product of a weighting factor by the net difference of the network response minus the specified response. The weighting factor is defined as being either smaller or larger than zero which corresponds to a lower or an upper specification, respectively. Hence, the specification is satisfied if the error function is less than or equal to zero, or is violated if the error function is larger than zero. For the relative group delay specification, the network response which defines the error function should be interpreted as the magnitude of the difference between the average group delay and the group delay at the particular frequency.

In conjunction with the minimization of error functions, the variable network parameters may be subjected to any required equality or inequality linear constraints. The MMLC optimization package readily accommodates such constraints and the CCFIL1 system will prompt the user for the necessary data.

The optimal design solution that can be reached by the minimax method is an equal-ripple response which meets the specifications. For the filter problem

considered here, the presence of sharp ripples of the response, especially near the band edges creates a problem in the selection of representative sample frequency That is, the optimal solution requires the sample frequency points to present the error peaks to the minimax optimization package. The CCFIL1 system offers assistance with this task during gain response optimization by providing an algorithm to locate the peaks of the error function, that is, the error maxima w.r.t. frequency. The search procedure for a maximum starts from the lower frequency edge of a subinterval and proceeds with a given step-length to evaluate the slope of the error function. When two consecutive points yield a positive succeeded by a negative slope, it follows that at least one maximum lies between the two. If the points are close enough to exclude the existence of multiple maxima, the location of the detected maximum is estimated by a cubic interpolation formula [1]. The initial sample frequency points are then automatically changed in value to reflect the location of the detected error peak.

III. SYSTEM DATA

For simulation purposes and before optimization can be performed, the following system data is required from the user:

- the order of the coupling matrix (i.e., that of the filter)
- whether the coupling matrix is symmetrical w.r.t. its anti-diagonal (it is always symmetrical w.r.t. its diagonal)
- the value of the coupling matrix elements (only the non-zero ones need be specified)
- the synchrously tuned cavity resonant frequency (the center frequency) in units of MHz
- the bandwidth parameter in units of MHz
- the load resistance multiplied by the output-transformer ratio squared, in units of ohms
- the source resistance and reactance, in units of ohms
- the value of the input-transformer ratio squared.

IV. OPTIMIZATION DATA

Optimization Variables

The variable coupling matrix elements are identified via their row and column indexes. Symmetrical elements are automatically taken into account. Variable input and output transformer ratios may also be specified. Note that the term transformer ratio should hereafter be interpreted as transformer ratio squared.

The MMLC optimization package printouts will list these parameters under the "VARIABLES" heading. First to be listed, and in the order they were specified, are the values of the coupling matrix elements. Then, if the transformer ratios are variable, the output and then the input ratios are listed. If the transformer ratios are equal by symmetry, only one value will be listed.

Gain Response Optimization

The user begins by indicating whether the specifications will be given in terms of the reflection coefficient or the transducer loss. A table which converts between values of these terms and the return loss is contained in Appendix B. The next step consists in dividing the frequency band of interest into a number of subintervals, each characterized by the following data:

- #1: the number of sample frequency points
- #2: the initial value of the sample frequency points
- #3: the step-length of the extrema search
- #4: the specified value of the response
- #5: the corresponding weighting factor

where items #4 and #5 are used to formulate the error functions to be evaluated at frequency points specified by items #1 to #3.

For any subinterval, upper or lower specifications are indicated by positive

or negative weighting factors, respectively. The magnitude of the weighting factor can be used to explicitly emphasize certain specifications, or to adjust for the inherent scaling effect arising when deviations from the specified values that may be insignificant for the stopband are critical for the passband or vice-versa [1].

Two approaches may be used to select the sample frequency points at which the subinterval's error functions are to be evaluated. The first consists in having sample frequency points that remain fixed, and the second in having sample frequency points that vary according to the location of the error peaks [1]. The former option is selected by choosing an extrema search step-length of zero, and the latter by choosing a step-length larger than zero. For both cases, the number of sample frequency points and their fixed/initial values, given in increasing order, have to be specified.

If the extrema tracking algorithm is used, certain rules of thumb can be applied to the selection of the number of sample frequency points and the search step-length value. The number of sample frequency points should equal the number of error peaks that will occur in the subinterval plus two points to delimit the edge of the subinterval plus two or three or more points dispersed throughout the subinterval. The choice of the initial value of the sample frequency points is not very critical and many of them will change value as the optimization proceeds. Upon exit from the MMLC optimization package, the sample frequency points of the last iteration will be listed and the extrema pointed out.

Choosing an extrema search step-length for a subinterval involves a compromise between too fine a step, which would make the search procedure unnecessarily time consuming, and too coarse a step which may not detect an error peak. A rule of thumb is to make the step-length equal to half the distance between either the two nearest error peaks, or an error peak and a subinterval

edge, whichever is the smallest.

It should now be clear that the criteria used to divide the frequency band of interest into a number of subintervals are the specification and weighting factor combination and the extrema search step-length. The last criterion is used to prevent a certain subsection of a frequency range from imposing a search step-length much finer than otherwise necessary on the remainder of the range. It should also be pointed out that, for symmetric responses, only one of the symmetrical halves need be considered throughout the response optimization.

One final word of caution for defining subintervals, is that no subintervals should usually have any points in common. This could give rise to either redundant calculations, or worse, a very ill-conditioned problem. A notable exception occurs when a frequency subinterval is specified twice, once with an upper specification and once with a lower specification.

Phase Response Optimization

The data required for flat group delay optimization are:

- #1: number of sample frequency points
- #2: frequencies of the fixed sample points
- #3: specification for the relative delay
- #4: the weighting factor

There is effectively only one subinterval of interest, namely the passband, and since its group delay response is a well-behaved function, only fixed sample frequency points are required. The number of points and their locations can easily be determined by performing a group delay simulation and noting where the undesirable peaks occur. It should also be mentioned that the group delay sample frequency points do not interfere with those specified for the gain response optimization even if they happen to cover the same frequency range.

The relative delay specification is given in units of nanoseconds. A specification of zero implies that a perfectly flat response is desired w.r.t. the sample points, whereas a value larger than zero would tolerate a finite ripple in the response at the solution. The weighting factor should always be a positive value since the error function formula interprets as an upper specification the relative group delay specification.

Constraints

The variable network parameters may be subjected to any required linear equality or inequality constraints. The generic forms of the linear constraints are:

$$C1 * X1 + ... + Ci * Xi + ... + Cn * Xn + B = 0$$

$$C1 * X1 + ... + Ci * Xi + ... + Cn * Xn + B > 0$$

where B is a constant, Xi are the variable network parameters and Ci their coefficients in the equation.

The CCFIL1 system will prompt the user for all the necessary data.

Optimization Control Data

The CCFIL1 system utilizes the MMLC optimization package [3,4] to reach a design solution. The following control data is required:

- #1: maximum number of function evaluations
- #2: initial step-length
- #3: accuracy requirement

Data items #1 and #3 are used to stop the optimization algorithm if a solution has not yet been found. Item #1 limits the number of calls to the FDF subroutine (see Section VI of this report), and item #3 is used to measure if a sufficiently small change in the optimization variables is occurring. Data item #2 specifies the initial step-length of the iterative optimization algorithm. It must

be positive and normally an appropriate value is ten percent of the average variable network parameter.

The step-length is adjusted by the MMLC package during the optimization and upon return from the last function evaluation its value is saved by the CCFIL1 system. If the optimization process is initited more than once, the user will be notified of the step-length value used during the last iteration. Using it for data item #2 will cause the optimization to proceed from the last function evaluation, otherwise the optimization will start anew from the specified step-length.

Apart from the optimization control data, the user will be prompted for certain printout options. One printout option is used to list the values of the optimization variables and the error functions at various stages of the optimization. The user chooses to have these values listed only at the last function evaluation, or regularly after a specified number of function evaluations. Another printout option involves the plotting of error functions as the optimization proceeds. These error functions are the ones evaluated at the search and sample frequency points and so this option does not create a computational burden for the package. This feature is offered as a matter of interest as it allows the user to observe the effects of the minimax optimization method on the error function values. The user is well forewarned that the plotting itself is time consuming and therefore slows down the optimization. It is best to test this feature when only a small number of function evaluations have been specified.

V. INTERACTIVE FEATURES

The interactive system CCFIL1 offers many practical user-oriented features so as to allow efficient and productive design sessions. See, for example, the sample session of Appendix A. Most features are described below, while others which deal with the entry of invalid data or editing changes that have secondary implications, although present in the package, are not detailed here.

A typical interactive session begins by having the program prompt the user for all of the required system and optimization data. Entered data is regularly reiterated and the user queried about its correctness. Data entry may be made by the user from the keyboard or read from a file, which has been previously saved by the CCFIL1 system. Once the system is defined, the package enters a menudriven mode having the following options:

- 1) NEW COUPLING MATRIX
- 2) NEW VARIABLE COUPLINGS
- 3) NEW CENTER FREQUENCY, BANDWIDTH, TERMINATIONS
- 4) NEW SUBINTERVAL DATA
- 5) NEW CONSTRAINTS
- 6) OPTIMIZATION
- 7) SIMULATION
- 8) VIEW A LOCAL FILE
- 9) SAVE THE DESIGN DATA
- 10) QUIT.

Menu items 1 to 5 allow editing of all the system data. Menu item 6 will allow the optimization to start anew or to proceed from the last function evaluation stage. Menu item 7 proceeds to simulate the existing system and offers to append the plot to a local file. This allows the user to create a file, which could later be referred to for comparison purposes by specifying menu item

8. Menu item 9 will save the entire design data, including the optimization steplength at the last function evaluation, in a format compatible with subsequent initial data entry to the program. The user may then select menu item 10 with the assurance of being able to proceed with the design session at some future time.

VI. STRUCTURE OF THE PACKAGE

The CCFIL1 filter optimization system comprises the following files:

- CCFIL1 deals with the interactive features of the package
- FILOSUB implements the algorithm which solves the filter network
- LOWRPLT a low resolution plotting package
- LMMLC8D the MMLC optimization library

A block diagram of the structure is shown in Figure 1.

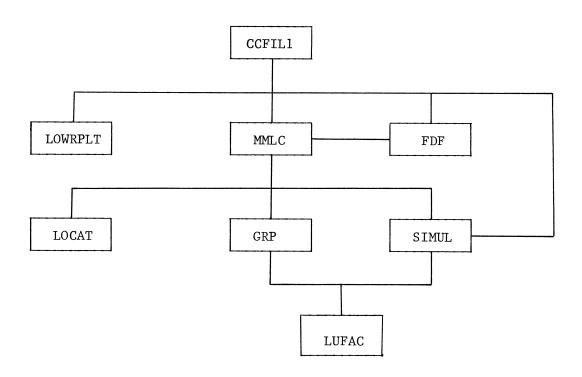


Figure 1. Structure of the CCFIL1 system.

The FILOSUB subroutines are further detailed:

- FDF interacts with the MMLC optimization package. Variable network parameters are updated and objective functions and gradients are

- constructed in correspondence with the subinterval specifications. Optimal selection of sample frequency points is also performed in FDF.
- LOCAT estimates the frequency point at which a maximum occurs in the error function by applying a cubic interpolation algorithm to the error function.
- SIMUL computes the gain response and its sensitivities w.r.t. the optimization variables and the frequency at a given frequency point.
- LUFAC solves the loaded filter network at a given frequency. One real LU factorization of an NxN matrix is performed. The complex original solution with or without three adjoint solutions is computed.
- GRP calculates the exact group delay and its sensitivities at a given frequency. The group delay is given in units of nanoseconds.

VII. LIMITS OF THE PACKAGE

The size of a problem that can be accommodated by the CCFIL1 system is determined by the dimension of various arrays in the CCFIL1.FOR and FILOSUB.FOR routines. The present limits are:

- 12 = the order of the filter
- 30 = the number of optimization variables in the coupling matrix
- 40 = the number of linear constraints
- 10 = the number of frequency subintervals
- 50 = the total number of sample frequency points

If a larger problem is to be solved, adjustments will be required in the CCFIL1.FOR and FILOSUB.FOR routines. These files will then need to be recompiled and all files re-linked. A typical sequence of VMS commands that could be used is listed in Appendix C.

APPENDIX A

A SAMPLE INTERACTIVE DESIGN OPTIMIZATION SESSION

THIS IS A COMPUTER PROGRAM IMPLEMENTING AN ALGORITHM

FOR THE DESIGN OPTIMIZATION OF

NARROW-BAND MULTI-CAVITY MICROWAVE FILTERS

IS THE INPUT DAT	A TO BE READ FROM A LOCAL FILE ? Y/N
INPUT: N	
	•
PLEASE ENTER:	
* 1:	ORDER OF THE COUPLING MATRIX
INPUT: 6	
# 2 :	IS THE COUPLING MATRIX SYMMETRICAL W.R.T. THE ANTIDIAGONAL ? Y/N
INPUT : Y	
# 3:	NUMBER OF NONZERO ELEMENTS OF THE COUPLING MATRIX
INPUT: 5	(NOT COUNTING SYMMETRICAL OR ANTISYMMETRICAL ONES)
RE:	
# 1:	ORDER OF THE COUPLING MATRIX
= 1.	
# 2: =	ANTISYMMETRICAL ?
# 3: =	NUMBER OF NONZERO ELEMENTS OF THE COUPLING MATRIX ${f 5}$
DATA CODDECT O	ANSWER N IF NOT
	ANSWER N IF NOI
INPUT:	

SET UP THE COUPLING MATRIX

INPUT	:	FOR ELEMENT NUMBER " 1" ENTER: ROW INDEX, COLUMN 1,2,.8101	INDEX,	COUPLING	VALUE
INPUT	:	FOR ELEMENT NUMBER " 2" ENTER: ROW INDEX, COLUMN 2,3,.4894	INDEX.	COUPLING	VALUE
INPUT	:	FOR ELEMENT NUMBER " 3" ENTER: ROW INDEX, COLUMN 3.48459	INDEX.	COUPLING	VALUE
INPUT	:	FOR ELEMENT NUMBER * 4* ENTEH: ROW INDEX, COLUMN 1.61197	INDEX,	COUPLING	VALUE.
INPUT	:	FOR ELEMENT NUMBER " 5" ENTER: ROW INDEX, COLUMN 2,5,4010	INDEX,	COUPLING	VALUE

CHECK YOUR COUPLING MATRIX

0.00000 0.00000 0.00000 0.11970 0.00000 0.81010 0.00000 -0.40100 0.00000 0.81010 0.00000 0.48940 0.00000 0.84500 0.00000 0.00000 0.00000 0.48940 0.84500 0.00000 0.48940 0.00000 0.00000 0.00000 0.00000 0.48940 0.00000 0.81019 0.00000 -0.40100 0.00000 0.00000 0.81010 0.00000 0.11970 0.00000

DATA CORRECT ? ANSWER N IF NOT

INPUT:

VARIABLE COUPLINGS

ENTER THE NUMBER OF OPTIMIZATION VARIABLES IN THE COUPLING MATRIX

INPUT : 5

IDENTIFY VARIABLE NUMBER "1"
ROW INDEX, COLUMN INDEX

INPUT : 1,2

IDENTIFY VARIABLE NUMBER "2"
ROW INDEX, COLUMN INDEX

INPUT : 2,3

IDENTIFY VARIABLE NUMBER "3"
ROW INDEX, COLUMN INDEX

INPUT : 3,4

IDENTIFY VARIABLE NUMBER "4"
ROW INDEX, COLUMN INDEX

INPUT: 1,6

INPUT : 2,4

IDENTIFY VARIABLE NUMBER "5"
ROW INDEX, COLUMN INDEX

RE:

THE VARIABLE ELEMENTS OF THE COUPLING MATRIX ARE :

(1 , 2) (2 , 3) (3 , 4) (1 , 6) (2 , 4)

DATA CORRECT ?

ANSWER N IF NOT

INPUT : N

PLEASE CHOOSE:

2 --- RE-KEY THIS DATA 3 --- CONTINUE

3 --- CONTINU

INPUT : 2

VARIABLE COUPLINCS

ENTER THE NUMBER OF OPTIMIZATION VARIABLES IN THE COUPLING MATRIX

INPUT: 5

IDENTIFY VARIABLE NUMBER "1"
ROW INDEX, COLUMN INDEX

INPUT: 1,2

IDENTIFY VARIABLE NUMBER "2"
ROW INDEX, COLUMN INDEX

INPUT: 2,3

IDENTIFY VARIABLE NUMBER "3"
ROW INDEX, COLUMN INDEX

INPUT: 1,6

IDENTIFY VARIABLE NUMBER "4"
ROW INDEX, COLUMN INDEX

INPUT: 2,5

RE:

THE VARIABLE ELEMENTS OF THE COUPLING MATRIX ARE :

(1, 2) (2, 3) (3, 4) (1, 6) (2, 5)

DATA CORRECT ? ANSWER N IF NOT

INPUT :

PLEASE ENTER:

1: CENTER FREQUENCY

2: BANDWIDTH PARAMETER

3: RESISTANCE * OUIPUT TRANSFORMER RATIO SQUARED

4: SOURCE RESISTANCE

* 5: SOURCE REACTANCE

6: VALUE OF THE INPUT-TRANSFORMER RATIO SQUARED

INPUT: 4000 40 .96 1 0 .96

* 7: TRANSFORMER RATIOS VARIABLE ? Y/N

INPUT : Y

RE:

# 1:	=	CENTER FREQUENCY 4000.00					
* 2 :	=	BANDWIDTH PARAMETER 40.60					
# 3:	=	RESISTANCE * OUTPUT TRANSFORMER RATIO SQUARED 0.960000					
# 4:	=	SOURCE RESISTANCE 1.000000					
# 5:	=	SOURCE REACTANCE 0.000000					
* 6:	=	VALUE OF THE INPUT-TRANSFORMER RATIO SQUARED. 0.966000					
# 7:	=	TRANSFORMER RATIOS VARIABLE ? Y/N					
DATA CORRECT ?		ANSWER N IF NOT					
INPUT :		SIMULATION ? ANSWER N IF NOT					
SIMULATION							

LOWER 8 UPPER EDGES OF THE BAND TO BE SIMULATED

1 --- REFLECTION COEF. 2 --- TRANSDUCER LOSS 3 --- GROUP DELAY

NUMBER OF FREQUENCY POINTS

RESPONSE INDICATOR :

INPUT : 3980,4020,61,2

DATA CORRECT ? ANSWER N IF NOT

INPUT :

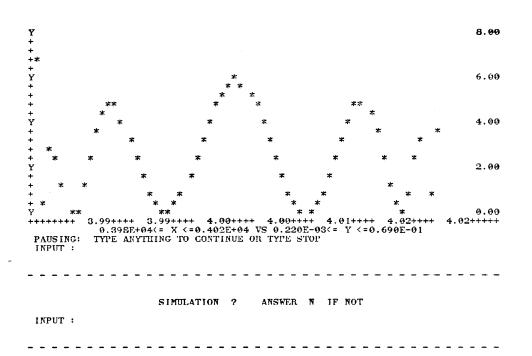
8080 000	0.068988
3980.000	0.005189
3980.667	0.000187
3981.333	0.025982
3982.000	
3982.667	0.010701
3983.333	0.000917
3984.000	0.001737
3984.667	0.010834
3985.333	0.023501
3986.000	0.035456
3986.667	0.043886
3987.333	0.047534
3988.000	0.046391
3988.667	0.041288
3989.333	0.033518
3990.000	0.024527
3990.667	0.015697
	0.008191
3991.333	0.002867
3992.000	
3992.667	0.000243
3993.333	0.000497
3994.000	0.003501
3994.667	0.008874
3995.333	0.016044
3996.000	0.024319
3996.667	0.032953
3997.333	0.041209
3998.000	0.048412
3998.667	0.053992
3999.333	0.057518
4000.000	0.058723
4999.667	0.057518
4001.333	0.053995
4092.000	0.048422
4002.667	0.041230
4003.333	0.032989
4004.000	0.024370
4994.667	0.016108
4005.333	0.008942
4006.000	0.003559
4006.667	0.000525
	0.000220
4007.333 4008.000	0.002771
4008.667	0.008003
4009.333	0.015426
4010.060	0.024186
4010.667	0.033150
4011.333	0.040965
4012.000	0.046207
4012.667	0.047596
4913.333	0.044283
4014.000	0.036217
4014.667	0.024536
4015.333	0.011873
4016.000	0.002318
4016.667	0.000509
4017.333	0.000309
	0.024302
4018.000	0.024302
4018.667	0.009259
4019.333	
4020.000	0.036167

DO YOU ALSO WANT THIS PLOT FILED (Y/N) ? INPUT : N

DATA CORRECT ? ANSWER N IF NOT

INPUT:

X AXIS: FREQUENCY (MHZ) Y AXIS: TRANSDUCER LOSS (DB)



SIMULATION

LOWER 8 UPPER EDGES OF THE BAND TO BE SIMULATED

NUMBER OF FREQUENCY POINTS AND

RESPONSE INDICATOR :

1 --- REFLECTION COEF. 2 --- TRANSDUCER LOSS 3 --- GROUP DELAY

INPUT: 3960,3980,31.2

DATA CORRECT ? ANSWER N IF NOT

INPUT:

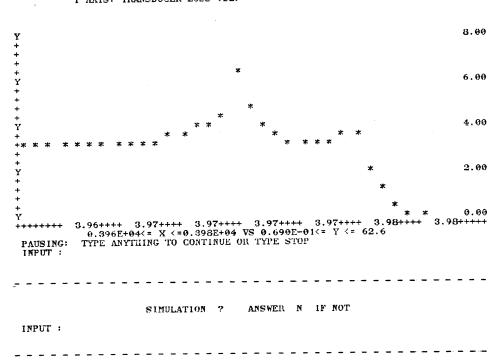
3960.000	30.991592
3960.667	31.041719
3961.333	31.122062
3962.000	31.238210
3962.667	31.397144
3963.333	31.607704
3964.000	31.881296
3964.667	32.232935
3965.333	32.682910
3966.000	33.259515
3966.667	34.003819
3967.333	34.978661
3968.000	36.287368
3968.667	38.118485
3969.333	40.877867
3970.000	45.756820
3970.667	62.616608
3971.333	47.534349
3972.000	40.348165
3972.667	36.295834
3973.333	33.562348
3974.000	31.730949
397 4.667	30.825116
3975.333	31.387553
3976.000	36.225100
3976.66 7	35.865191
3977.333	20.944713
3978.000	11.985676
3978.667	5.041695
3979.333	1.074342
3980.000	0.068988

DO YOU ALSO WANT THIS PLOT FILED (Y/N) ? INPUT : N

DATA CORRECT ? ANSWER N IF NOT

INPUT :

X AXIS: FREQUENCY (MHZ) Y AXIS: TRANSDUCER LOSS (DB)



$\mathbf{S} \;\; \mathbf{I} \;\; \mathbf{M} \;\; \mathbf{U} \;\; \mathbf{L} \;\; \mathbf{A} \;\; \mathbf{T} \;\; \mathbf{I} \;\; \mathbf{O} \;\; \mathbf{N}$

LOWER 8 UPPER EDGES OF THE BAND TO BE SIMULATED

NUMBER OF FREQUENCY POINTS

AND

1 --- REFLECTION COEF. 2 --- TRANSDUCER LOSS 3 --- GROUP DELAY RESPONSE INDICATOR :

INPUT : 3985,4015,41,3

DATA CORRECT ? ANSWER N IF NOT

INPUT :

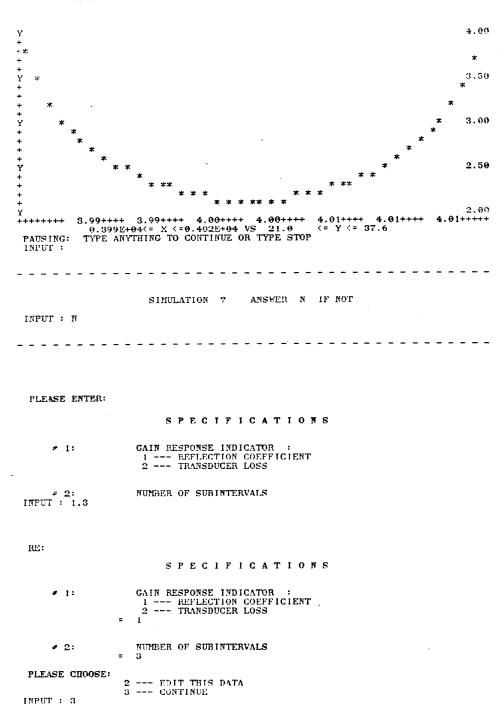
3985.090	37.576716
3985.750	34.662640
3986.500	32.321967
3987,250	30.449227
3988.880	28.948464
3988.750	27.735773
3989.500	26.740889
3990.250	25.907288
3991.000	25, 191482
3991.750	24.561617
3992.560	23.995939
3993.250	23.481076
3994.000	23.010293
3994.750	22.581798
3995.500	22.197190
3996.250	21.860109
3997.000	21.575128
3997.750	21.346904
3998.500	21.179560
3999.250	21.076268
4000.000	21.038994
4000.750	21.068354
4001.500	21.163582
4002.250	21.322575
4003.000	21.542032
4003.750	21.817722
4004.500	22.144900
4005.250	22.518914
4006.000	22.936048
	23.394596
	23.896173
4008.250	24.447216
4009.000	25.060667
4009.750	25.757335
4010.500	26.568115
4011.250	27.534871
4012.000	28.711949
4012.759	30.166849
4013.500	31.980059
4014.250	34.243540
4015.000	37.058213

DO YOU ALSO WANT THIS PLOT FILED (Y/N) ? . INPUT : N

DATA CORRECT ? ANSWER N IF NOT

INPUT :

X AXIS: FREQUENCY (MHZ) Y AXIS: GROUP DELAY (NSECS)



PLEASE ENTER:

		s t	В	1	N	T	E	R	v	4	L	N	U	M	R	E	R		1
u	1:		NUI	MI31	ER	OI	7 5	SAI	MP1	Æ	POII	475	5						
¥	2:		IN	IT	1.41	. 1	209	81	ΓI ()NS	s of	Ti	Æ	S	1MI	PLI	E F	90 E	NTS
#	3:										KTREI R F I)		
#	4:		ТН	E 8	SPI	EC	IF	E	7 0	Λ	LUE (οF	T	Œ	RJ	ESI	201	SE	
#	5:			E (COI						SPE						T	OR	

INPUT: 4,3950,3960,3965,3970,2,.9993,-1

RE:

1: # 2:

4:

5:

s	UBINTERV	AL	NUMBER 1
-	NUMBER OF SAME 4	LE POI	INTS
=			THE SAMPLE POINTS 3965.00 3970.00
=	STEP-LENGTH OF (ENTER 0.0 2.0000		
=	THE SPECIFIED 0.999300	VALUE	OF THE RESPONSE
=			EIGHTING FACTOR ECIFICATION

PLEASE CHOOSE:

2 --- EDIT THIS DATA 3 --- CONTINUE

INPUT : 3

PLEASE ENTER:

SUBINTERVAL NUMBER

1: NUMBER OF SAMPLE POINTS

2: INITIAL POSITIONS OF THE SAMPLE POINTS

STEP-LENCTH OF EXTREMA SEARCH (ENTER 0.0 FOR FIXED POINTS) # 3:

THE SPECIFIED VALUE OF THE RESPONSE

THE CORRESPONDING WEIGHTING FACTOR $\leftarrow \theta$ FOR LOWER SPECIFICATION **#** 5:

INPUT: 4,3970,3972,3974,3976,1,.9993,-1

```
RE:
                   SUBINTERVAL NUMBER
                       NUMBER OF SAMPLE POINTS
                       INITIAL POSITIONS OF THE SAMPLE POINTS 3970.00 3972.00 3974.00 3976.00
      # 2:
                       STEP-LENGTH OF EXTREMA SEARCH (ENTER 6.0 FOR FIXED POINTS)
                       THE SPECIFIED VALUE OF THE RESPONSE
      # 4:
                           0.999300
                       # 5:
 PLEASE CHOOSE:
                    2 --- EDIT THIS DATA
3 --- CONTINUE
INPUT : 3
 PLEASE ENTER:
                   SUBINTERVAL NUMBER
                       NUMBER OF SAMPLE POINTS
      # 1:
                       INITIAL POSITIONS OF THE SAMPLE POINTS
      # 2:
                       STEP-LENCTH OF EXTREMA SEARCH (ENTER 0.0 FOR FIXED POINTS)
                       THE SPECIFIED VALUE OF THE RESPONSE
      # 4:
                       THE CORRESPONDING WEIGHTING FACTOR \leftarrow 0 FOR LOWER SPECIFICATION
      # 5:
INPUT: 8,3980,3982,3984,3989,3993,3995,3998,4001..5,.1,1
 RE:
                   SUBINTERVAL NUMBER
                       NUMBER OF SAMPLE POINTS
      # 1:
                       INITIAL POSITIONS OF THE SAMPLE POINTS 3980.00 3982.00 3984.00 3989.00 3995.00 3998.00 4001.00
      # 2:
                                                                           3993.00
                                                               3989.00
                       STEP-LENGTH OF EXTREMA SEARCH (ENTER 0.0 FOR FIXED POINTS ) 0.5000
      # 3:
                       THE SPECIFIED VALUE OF THE RESPONSE
                          0.100000
                       THE CORRESPONDING WEIGHTING FACTOR

6 FOR LOWER SPECIFICATION
1.000000
      # 5:
 PLEASE CHOOSE:
                    2 --- EDIT THIS DATA
3 --- CONTINUE
INPUT: 3
```

PLEASE ENTER:

GROUP DELAY

1: NUMBER OF POINTS (ENTER 0 IF NONE)
DATA CORRECT ? ANSWER N IF NOT INPUT:
CONSTRAINTS
NUMBER OF LINEAR CONSTRAINTS (ENTER 0 1F NONE)
INPUT: 0
DATA CORRECT ? ANSWER N IF NOT
INPUT:
OPTIONS: ENTER 1 NEW COUPLING MATRIX
2 NEW VARIABLE COUPLINGS 3 NEW CENTER FREQUENCY, BANDWITH, TERMINATIONS
4 NEW SUBINTERVAL DATA 5 NEW CONSTRAINTS
6 OPTIMIZATION 7 SIMULATION
8 VIEW A LOCAL FILE 9 SAVE THE DESIGN DATA
10 QUIT INPUT : 9
- IN 01 · 9
PLEASE ENTER THE FILE NAME
IN WHICH THE SYSTEM STATE IS TO BE SAVED:
INPUT: INIT.PNT
SYSTEM STATE IS NOW STORED IN FILE : INIT. PNT
TYPE ANYTHING TO CONTINUE
INPUT:

```
NEW COUPLING MATRIX
NEW VARIABLE COUFLINGS
NEW GENTER FREQUENCY, BANDWITH, TERMINATIONS
NEW SUBINTERVAL DATA
NEW CONSTITUTION
OPTIMIZATION
OPTIONS : ENTER
            \frac{1}{2}
              ____
              ____
            4
              ____
              ____
                  SIMULATION
VIEW A LOCAL FILE
SAVE THE DESIGN DATA
QUIT
              ----
            10
INPUT: 6
               OPTIMIZATION CONTROL DATA
PLEASE ENTER:
            MAXIMUM NO. OF ITERATIONS
# 1:
INPUT : 50
            INITIAL STEP-LENGTH
# 2:
INPUT : .005
            ACCURACY REQUIREMENT
   # 3:
INPUT : 1.E-6
  PRINTOUT CONTROL: FUNCTION VALUES AT SOLUTION ONLY ? Y/N
INPUT : N
     AFTER HOW MANY FUNCTION EVALUATIONS ?
INPUT: 10
     DO YOU WISH TO SEE THE ERROR FUNCTIONS PLOTTED AS THE OPTIMIZATION PROCEEDS ? Y/N
(WARNING: THIS PLOTTING IS TIME CONSUMING
AND THEREFORE SLOWS DOWNS THE OPTIMIZATION PROCESS)
INPUT : N
 DATA CORRECT ?
            ANSWER N IF NOT
ATE: 18-0CT-1984
                     TIME: 09:06:13
INEARLY CONSTRAINED MINIMAX OPTIMIZATION (MMLC PACKAGE) (REAL*8) (V:84.02)
INPUT DATA
```

STARTING POINT : FUNCTION VALUES VARIABLES -3.846836349045E-04 8.1010000000000E-01 4.894000000000E-01 -2.993775218064E-04 -4.151457951448E-04 8.45000000000000000 1.197000000000E-01 -6.867171602540E-04 -4.01000000000E-01 -6.867171602540E-04 5 -6.538508702268E-04 -2.527413352129E-04 -5.697424459313E-04 2.553714130263E-02 9.60000000000E-01 -1.553915347907E-02 -8.000363508608E-02 12 13 4.488379337303E-03 -9.835686245257E-02 -4.687239660174E-02 1.588995855072E-02 15 1.322247410953E-02 FUNCTION EVALUATION: 10 / 1 FUNCTION VALUES VARIABLES -5.247889890181E-04 8.201534041768E-01 8.2015340417000 0. 5.111040113576E-01 8.267479102785E-01 -5.247889890181E-04 -5.027003561060E-04 -6.090597910786E-04 -6.937678285622E-04 -6.937678285622E-04 3 3 9.458781006473E-02 -3.593457494794E-01 9.670264259851E-01 5 -5.021960100974E-04 -4.936119849662E-04 -9.709394165884E-03 -5.119351990363E-04 16 -9.658129848684E-02 -2.627209002994E-03 -8.953627711734E-02 -6.170063961931E-02 14 -5.042177712500E-04 -3.136655985882E-03 FUNCTION EVALUATION: 20 / 2 FUNCTION VALUES VARIABLES -5.295407077706E-04 8.183566974919E-01 -5.082152699415E-04 -6.118164159783E-04 -6.939408656646E-04 -6.939408656646E-04 5.115146745080E-01 8.242838684941E-01 9.354212214981E-02 -3.574834245519E-01 5 5 -6.037946153604E-04 -6.037946153604E-04 -5.082125462975E-04 -5.082176442507E-04 -5.064299445283E-04 9.856507688489E-01 -9.598340189497E-02 -5.677782331604E-04 12 13 -8.629493043951E-02 -6.490221442620E-02 14 -3.496121542879E-63 16 -6.140303209929E-03 SOLUTION VARIABLES FUNCTION VALUES 8.177562326145E-01 -5.812818327661E-04 -5.100499090424E-04 -6.124155349890E-04 $\tilde{2}$ 5.110854748401E-01 8.242954956108E-01 9.330020381362E-02 -3.571042539381E-01 -6.940881272135E-04 -6.940881272135E-04 9.823662047636E-01 -6.049617180980E-04 -5.100499083371E-04 -5.100499090424E-04

8

10

12 13 -5.100499090426E-04 -5.100499090055E-04 -9.649038243903E-02

-5.100499090417E-04 -6.602774342185E-02 -6.256975104911E-02 -5.100499090425E-04

-3.180383321256E-03

TYPE OF SOLUTION (IFALL)	
NUMBER OF FUNCTION EVALUATIONS	
NUMBER OF SHIFTS TO STAGE-2	
EXECUTION TIME (IN SECONDS)	
THE CORRESPONDING FREQUENCY POINTS ARE :	
3950.9090	
3956.2750 EXTREMUM POINT	
3965,0000	
3970.0000	
3970.0000	
3972.0000	
3973.7262 EXTREMUM POINT	
3976.0000	
3980.0000	
3981.6456 EXTREMUM POINT	
3984.0000	
3987.9864 EXTREMUM POINT	
3993.0000	
3995.0000	
4909.9999 EXTREMUM POINT	
4001.0000	
OPTIONS: ENTER 1 NEW COUPLING MATRIX 2 NEW VARIABLE COUPLINGS	
NEW CENTER FREQUENCY, BANDWITH, TERMINATIONS 4 NEW SUBINTERVAL DATA 5 NEW CONSTRAINTS 6 OPTIMIZATION 7 SIMULATION 8 VIEW A LOCAL FILE 9 SAVE THE DESIGN DATA 10 QUIT	
SIMULATION	
LOWER & UPPER EDGES OF THE BAND TO BE SIMULATED	
NUMBER OF FREQUENCY POINTS AND	
RESPONSE INDICATOR : 1 REFLECTION COEF. 2 TRANSDUCER LOSS 3 GROUP DELAY	

INPUT : 3980,4020,61,2

DATA CORRECT ? ANSWER N IF NOT INPUT:

0.043202 3980.667 3981.333 0.009239 0.039630 0.039593 0.022308 0.006380 0.000053 3982.660 3982.667 3983.333 3984.000 3984.667 3985.333 $0.003486 \\ 0.013100$ 3986.000 3986.667 3987.333 0.024639 0.034658 0.041110 0.043201 3988.000 3988.667 3989.333 3990.000 3990.667 3991.333 3992.667 3993.333 3994.000 3988, 666 0.041140 0.035795 0.028376 0.020185 0.012425 0.006079 0.001832 0.000050 0.000790 3994.667 3995.333 0.003833 0.008744 3996.000 3996.667 3997.333 3996.060 0.014936 0.021737 0.028458 0.0344530.034455 0.039165 0.042170 0.043292 0.042170 0.039168 3998.667 3999.333 4000.000 4000.667 4001.333 4002.000 0.034461 4002.667 4003.333 4004.000 0.028476 0.021765 0.014975 0.008790 4004.667 4005.333 0.003876 4006.000 4006.667 $0.000816 \\ 0.000042$ 4007.333 4008.000 $0.001771 \\ 0.005950$ 0.012224 0.0199194008.667 4009.333 4010.000 0.028076 4010.667 4011.333 4012.000 0.035512 0.040950 0.043190 0.041361 4012.667 4013.333 0.035212 0.025449 0.014009 4014.000 4014.667 4015.333 0.004147 0.0000004016.667 4017.333 6.005225 0.020208 0.037970 6.041378 0.014323 0.020777 4018.000 4018.667 4019.333

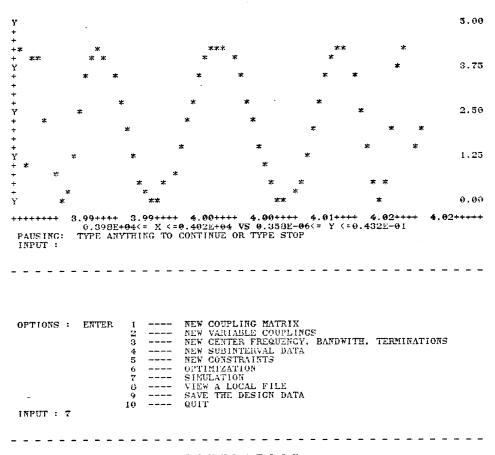
DO YOU ALSO WANT THIS PLOT FILED (Y/N) ?

INPUT : N

DATA CORRECT ? ANSWER N IF NOT

INPUT :

X AXIS: FREQUENCY (MHZ) Y AXIS: TRANSDUCER LOSS (DE)



SIMULATION

LOWER & UPPER EDGES OF THE BAND TO BE SIMULATED

NUMBER OF FREQUENCY POINTS

RESPONSE INDICATOR :

1 --- REFLECTION COEF. 2 --- TRANSDUCER LOSS 3 --- GROUP DELAY

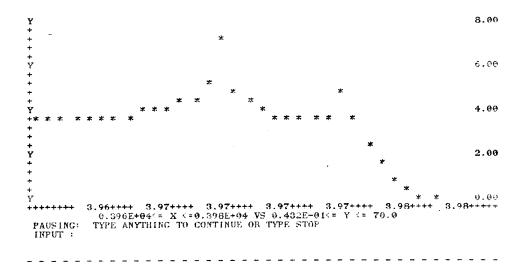
INPUT : 3960,3980,31,2

ANSWER N IF NOT DATA CONNECT 7 INPUT : 34.553816 34.720202 34.933625 35.293343 35.293343 36.496412 37.165645 38.018927 39.127235 40.609956 42.692819 45.892538 51.949997 70.031076 49.272462 43.347224 39.733311 37.210921 35.444373 3960.000 3960.667 3961.333 3962.667 3963.383 3964.000 3964.667 3965.383 3965.363 3966.667 3966.667 3968.660 3968.667 3969.333 3970.467 3970.667 3970.667 3971.333 3972.667 35.444373 34.466256 34.321634 36.156687 46.448764 34.263717 23.176257 15.438644 8.551656 3.566866 3973.333 3974.000 3974.667 3975.888 3976.690 3976.667 3977.333 3978.600 3978.667 3979.888 3.566890 0.741361 0.043202 3989.000

DO YOU ALSO WANT THIS PLOT FILED (Y/N) ? INPUT : N

DATA CORRECT ? ANSWER N IF NOT INPUT:

X AXIS: FREQUENCY (MHZ) Y AXIS: TRANSDUCER LOSS (DB)



```
OFTIOPS: ENTER 1 --- NEW COUPLING MATRIX
2 --- NEW VARIABLE COUPLINGS
3 --- NEW CENTER FREQUENCY, BANDWITH, TERMINATIONS
4 --- NEW SUDINTERVAL BATA
5 --- NEW CONSTRAINTS
6 --- OPTIMIZATION
7 --- SIMPLATION
8 --- VIEW A LOCAL FILE
9 --- SAVE THE DESIGN BATA
10 --- QUIT

SIMULATION
10 --- QUIT

SIMULATION
10 --- SAVE THE DESIGN BATA
10 --- SAVE THE DESIGN BATA
10 --- OPTIMIZATION
10 --- SAVE THE DESIGN BATA
10 --- SAVE THE DESIGN BATA
10 --- OPTIMIZATION
10 --- SAVE THE DESIGN BATA
10 --- SAVE THE DESIGN BATA
10 --- QUIT

SIMULATED
NUMBER OF FREQUENCY POINTS --- AND
```

1 --- REFLECTION COEF.
2 --- TRANSDUCER LOSS
3 --- GROUP DELAY

INPUT : 8985,4015,41,3

DATA CORRECT ? ANSWER N IF NOT

RESPONSE INDICATOR :

INPUT :

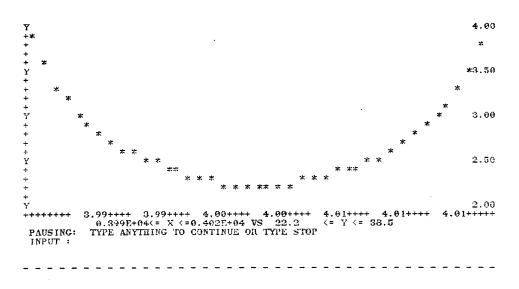
3983.000	33.531876
3985.750	35.731513
3986.500	33.429010
3987.250	31.553710
3988.000	30.033468
3988.750	28.798796
3989.500	27.786961
3990.250	26.944418
3991.006	26.227768
3991.750	25.603720
8992.500	25.048391
3993,250	24.546209
3994.000	24.088535
3994.750	23.672170
3995.500	23.297853
3996.250	22,968657
3997.000	22.689774
0997.750	22,455520
3998.500	22.300584
3983.000 3983.730 3986.500 3987.250 3988.000 3988.700 3988.700 3999.250 3991.000 3991.750 3991.750 3992.500 3994.750 3994.750 3994.750 3994.250 3994.250 3994.250 400.250 400.750 400.750 400.750 400.750 400.750 400.750 400.750 400.750 400.750 400.750 400.750 400.750 400.750 400.750 400.750 400.750	22.198488
4000.000	22.161442
4099 .750	22,190153
4001.500	22.283767
4002.250	22.439939
4663.000	22.655022
4003,750	22.924426
4004.500	23.243152
4095.250	23.606533
4006.000	24.011215
4006.750	24.456342
4007.500	24.944911
4008.250	25.485225
4009.000	26.092323
4009.750	26.789281
4010.560	27.608252
4011.250	28.591096
4012.000	29.789401
4012.750	24, 944911 25, 45525 26, 69232 26, 789281 27, 666252 28, 591696 29, 789461 31, 263543 33, 686074 35, 369367 38, 618209
4013.500	33.080074
4014,250	35.309367
4615.000	38.018209

DO YOU ALSO WANT THIS PLOT FILED (Y/N) ? INPUT: N

DATA CORRECT ? ANSWER N IF NOT

IMPUT :

X AXIS: FREQUENCY (MHZ) Y AXIS: GROUP DELAY (NSECS)



```
OPTIONS: ENTER 1 --- NEW COUPLING MATRIX
2 --- NEW VARIABLE COUPLINGS
3 --- NEW CENTER FREQUENCY, BANDWITH, TERMINATIONS
4 --- NEW CONSTRAINTS
5 --- NEW CONSTRAINTS
6 --- OPTIMIZATION
7 --- SIMULATION
8 --- VIEW A LOCAL FILE
9 --- SAVE THE DESIGN DATA
INPUT: 10
```

APPENDIX B
GAIN RESPONSE CONVERSION TABLE FOR A LOSSLESS FILTER

REFLECTION COEFFICIENT	RETURN LOSS (DB)	TRANSDUCER LOSS (DB)
0.031623	30	0.004345
0.042170	27.5	0.007730
0.056234	25	0.013755
0.074989	22.5	0.024491
0.089125	21	0.034635
0.1	20	0.043648
0.112202	19	0.055022
0.125893	18	0.069383
0.141254	17	0.087530
0.158489	16	0.110483
0.177828	15	0.139554
0.984062		15
0.989973		17
0.993685		19
0.994987		20
0.997184		22.5
0.998418		25
0.999002	-	27
0.999110		27.5
0.999500		30
0.999719		32.5
0.999482		35
0.999900		37
0.999911		37.5
0.999950		40
0.999972		42.5
0.999984		45
0.999990		47
0.999995		50

APPENDIX C

INCREASING THE LIMITS OF THE SYSTEM

Increasing the limits of the CCFIL1 system requires re-dimensioning of particular arrays in the CCFIL1.FOR and FILOSUB.FOR routines. These arrays are automatically dimensioned according to the MAXNT and MAXEL parameters. Hence, the only editing needed involves redefining 'ia' and 'ib' in all occurences of

PARAMETER (MAXNT=ia, MAXEL=ib)

where 'ia' is an integer value equal to the maximum order of the coupling matrix and 'ib' is an integer value equal to the maximum number of optimization variables in the coupling matrix (not counting as more than one those set equal by matrix symmetry).

The user will have to be given permission, via the master account ZE050, to read the following files:

- CCFIL1.FOR
- FILOSUB.FOR
- LOWRPLT.OBJ
- LMMLC8D.OLB.

The following sequence of VMS commands could then be used:

\$COPY GR:*.* *.* to obtain the above files

\$EDIT CCFIL1.FOR to edit the MAXNT,

••• MAXEL parameters

\$EDIT FILOSUB.FOR to edit the MAXNT,

•• MAXEL parameters

\$FOR CCFIL1 to compile the edited file

\$FOR FILOSUB to compile the edited file

\$LINK CCFIL1, FILOSUB, LOWRPLT, LMMLC8D/LIB to re-link all files

The executable file CCFIL1.EXE is thence available and can be used: \$RUNH CCFIL1

REFERENCES

- [1] J.W. Bandler and S.H. Chen, "Interactive optimization of multi-coupled cavity microwave filters", Department of Electrical and Computer Engineering, McMaster University, Hamilton, Canada, Report SOS-84-13-R, 1984.
- [2] J.W. Bandler, S.H. Chen and S. Daijavad, "Efficient approaches to the simulation of narrow-band multi-cavity filters", Department of Electrical and Computer Engineering, McMaster University, Hamilton, Canada, Report SOS-84-9-R, 1984.
- [3] J. Hald and K. Madsen, "Combined LP and quasi-Newton methods for minimax optimization", <u>Mathematical Programming</u>, vol. 20, 1981, pp. 49-62.
- [4] J.W. Bandler and W.M. Zuberek, "MMLC a Fortran package for linearly constrained minimax optimization", Department of Electrical and Computer Engineering, McMaster University, Hamilton, Canada, Report SOS-82-5-U2, 1983.
- [5] J.W. Bandler, "Computer-aided circuit optimization", in Modern Filter Theory and Design, G.C. Temes and S.K. Mitra, Eds. New York: Wiley-Interscience, 1973.