

# SIMULATION OPTIMIZATION SYSTEMS Research Laboratory

### COMPUTER AIDED DESIGN OF BRANCHED CASCADED NETWORKS

J.W. Bandler, S. Daijavad and Q.J. Zhang SOS-84-17-R

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### COMPUTER AIDED DESIGN OF BRANCHED CASCADED NETWORKS

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#### Summary

A new and attractive theory is presented for computer orientated simulation, sensitivity analysis and design of branched cascaded circuits. The forward and reverse analysis approach developed by Bandler et al. [1] for cascaded circuit analysis is extended and applied to general branched cascaded circuits. This theory permits an efficient and fast analytical and numerical investigation of responses and sensitivities of all functions of interest w.r.t. any variable parameter, including frequency. Thevenin equivalent circuits at any reference plane and their sensitivities are also expressed analytically and calculated systematically. Thus, responses such as common port return loss, branch output return loss, insertion or transducer loss, gain slope and group delay can be handled exactly and efficiently. The theory is presented together with an arbitrary example of a 4-branched cascaded circuit, followed by a practical 12-channel multiplexer.

To apply forward and reverse analysis, 3-port junctions are reduced to 2-port representations so that the cascaded analysis can be readily carried through these junctions in different desired directions. Consider the 3-port network shown in Fig. 1. To carry the

analysis through the junction along the main cascade, we terminate port 3 and represent the transmission matrix between ports 1 and 2 by **A**. The linear combination between the voltages and currents at ports 2 and 3 can be expressed as

$$\mathbf{\alpha}^{\mathrm{T}} \begin{bmatrix} \mathbf{V}_{2} \\ -\mathbf{I}_{2} \end{bmatrix} = \mathbf{\beta}^{\mathrm{T}} \begin{bmatrix} \mathbf{V}_{3} \\ -\mathbf{I}_{3} \end{bmatrix} . \tag{1}$$

The analysis can also be carried through the junctions into any desired branch by terminating port 2 and denoting the transmission matrix between ports 1 and 3 by **D**.

Consider a network consisting of N sections, as shown in Fig. 2. A typical section has a junction, n(k) cascaded elements of branch k and a subsection along the main cascade. All reference planes in the entire network are defined uniformly and numbered consecutively beginning from the main cascade termination, which is designated reference plane 1. The source port is designated reference plane 2N+2. The termination of the kth branch is called reference plane t(k) and the branch main cascade connection is reference plane  $\sigma(k)$ , k=1, 2, ..., N, where

$$\begin{split} \tau(1) &= 2N + 3, \\ \sigma(k) &= \tau(k) + n(k), \qquad k = 1, 2, ..., N, \\ \tau(k) &= \sigma(k-1) + 1, \qquad k = 2, 3, ..., N. \end{split} \tag{2}$$

Two-port matrix and vector representations  $\mathbf{A}$ ,  $\mathbf{\alpha}$ ,  $\mathbf{\beta}$  and  $\mathbf{D}$  are calculated for each branch/junction combination and are denoted as  $\mathbf{A}_{2k}$ ,  $\mathbf{\alpha}_{2k}$ ,  $\mathbf{\beta}_{2k}$  and  $\mathbf{D}_{2k}$  for the kth junction. Elements in every branch and subsection in every section are represented by chain matrices  $\mathbf{A}_{i}$ , where i is the index of the reference plane at the output of the corresponding element or subsection.

Let

$$I_r = \{1, 2, 3, ..., \sigma(N)\}$$
 (3)

be the index set containing indexes of all reference planes and

$$I = \{i \mid i \in I_r, i \neq 2N + 2, i \neq \sigma(k), k = 1, 2, ..., N\}$$
(4)

be the index set containing subscripts of all **A** matrices which can logically be defined using the subscript of the associated output reference plane.

The forward analysis  $(\mathbf{u}^{xi})^T$  (reverse analysis  $\mathbf{v}^{ix}$ ) is the result of a row (column) vector initialized at reference plane x as either  $[1 \quad 0]$ ,  $[0 \quad 1]$  ( $[1 \quad 0]^T$ ,  $[0 \quad 1]^T$ ) or a suitable linear combination and successively premultiplying (postmultiplying) each corresponding chain matrix by the resulting row (column) vector until reference plane i is reached.

The result of the analysis between reference planes i and j is defined as

$$\mathbf{Q}_{ij} \stackrel{\Delta}{=} [\mathbf{p}_{ij} \ \mathbf{q}_{ij}] \stackrel{\Delta}{=} \begin{bmatrix} A_{ij} & B_{ij} \\ C_{ii} & D_{ij} \end{bmatrix}, \tag{5}$$

where

$$\mathbf{p}_{ij} \stackrel{\Delta}{=} \begin{bmatrix} A_{ij} \\ C_{ij} \end{bmatrix}, \mathbf{q}_{ij} \stackrel{\Delta}{=} \begin{bmatrix} B_{ij} \\ D_{ij} \end{bmatrix}$$
 (6)

and where  $A_{ij}$ ,  $B_{ij}$ ,  $C_{ij}$  and  $D_{ij}$  are the equivalent chain matrix elements between reference planes i and j and are expressed in the form  $\mathbf{u}^T \mathbf{A} \mathbf{v}$  to facilitate sensitivity, first-order change, and large change analysis [1]. For example, we have

$$\frac{\partial Q_{ij}}{\partial \Phi} = \sum_{\ell \in I_{\Phi}} \frac{\partial Q_{ij}^{\ell}}{\partial \Phi} , \qquad (7)$$

where  $I_{\phi}$  is an index set whose elements identify the chain matrices between reference planes i and j containing the variable parameter  $\phi$  and Q represents A,B,C or D.

Having performed the appropriate forward and reverse analysis, the branch output responses and their sensitivities are readily calculated. For example, for a short-circuit main cascade termination (at reference plane 1), we can calculate the output voltage of the kth branch and its sensitivities as

$$V = \frac{\mathbf{\alpha}^{T} \mathbf{q}_{2k, 1} V_{S}}{\mathbf{\beta}^{T} \mathbf{p}_{\text{ort}} B_{2N+21}}$$
(8)

and

$$V' = \frac{(\boldsymbol{\alpha}^{T} \, \mathbf{q}_{2k, 1} \, V_{S})' - (\boldsymbol{\beta}^{T} \, \mathbf{p}_{\sigma \tau} \, B_{2N+2, 1})' \, V}{\boldsymbol{\beta}^{T} \, \mathbf{p}_{\sigma \tau} \, B_{2N+2, 1}}$$
 (9)

Similar response and sensitivity formulas are also available for different excitations and terminations [2]. For different  $\phi$ , appearing in different parts of the network, the sensitivity formulas can be simplified. For example, if  $\phi$  is in a section to the left of the branch for which the output voltage sensitivity is calculated, (9) is reduced to

$$V' = \frac{-B_{2N+2,1}'V}{B_{2N+2,1}}.$$
 (10)

The branch output voltages can be utilized to compute insertion loss between output and common ports. Sensitivities of insertion loss for each branch output w.r.t. all variables are also computed using the sensitivities of the corresponding branch output voltage. In particular, the sensitivities w.r.t. frequency can result in the exact calculation of group delay and gain slope for each branch [3-4].

The group delay from the source port to the kth branch output port, namely  $T_G^{\ k}$  is calculated as

$$T_{G} = -Im \left\{ \frac{(\boldsymbol{\alpha}^{T} \boldsymbol{q}_{2k,1}' + \boldsymbol{q}_{2k,1}^{T} \boldsymbol{\alpha}')}{\boldsymbol{\alpha}^{T} \boldsymbol{q}_{2k,1}} - \frac{(\boldsymbol{\beta}^{T} \boldsymbol{p}_{\sigma t}' + \boldsymbol{p}_{\sigma t}^{T} \boldsymbol{\beta}')}{\boldsymbol{\beta}^{T} \boldsymbol{p}_{\sigma t}} - \frac{B_{2N+2,1}'}{B_{2N+2,1}} \right\},$$
(11)

where  $T_G \equiv T_G^{\ k}$ ,  $\beta \equiv \beta_{2k}$ ,  $\alpha \equiv \alpha_{2k}$ ,  $\sigma \equiv \sigma(k)$ ,  $\tau \equiv \tau(k)$  and  $\partial/\partial\omega$  is denoted as '.

The gain slope for the kth branch output port is

$$S_{G}^{k} = \frac{\partial}{\partial \omega} L_{I}^{k}$$

$$= \frac{-20}{\ell n 10} \operatorname{Re} \left\{ \frac{(\boldsymbol{\alpha}^{T} \boldsymbol{q}_{2k,1}^{'} + \boldsymbol{q}_{2k,1}^{T} \boldsymbol{\alpha}')}{\boldsymbol{\alpha}^{T} \boldsymbol{q}_{2k,1}} - \frac{(\boldsymbol{\beta}^{T} \boldsymbol{p}_{\sigma\tau}^{'} + \boldsymbol{p}_{\sigma\tau}^{T} \boldsymbol{\beta}')}{\boldsymbol{\beta}^{T} \boldsymbol{p}_{\sigma\tau}} - \frac{B_{2N+2,1}^{'}}{B_{2N+2,1}} \right\}$$
(12)

The common port and branch output port return loss responses are evaluated using the Thevenin equivalent approach originated by Bandler et al. [1]. Denoting the Thevenin equivalent voltages and impedances at reference planes i and j by  $V_S^i$ ,  $Z_S^i$ ,  $V_S^j$  and  $Z_S^j$ , we have

$$V_{S}^{j} = \frac{V_{S}^{i}}{A_{ij} + Z_{S}^{i} C_{ij}}$$
(13)

and

$$Z_{S}^{j} = \frac{B_{ij} + Z_{S}^{i} D_{ij}}{A_{ij} + Z_{S}^{i} C_{ij}}.$$
 (14)

The sensitivities are obtained as

$$(V_{S}^{j})' = \frac{(V_{S}^{i})' - [A_{ij}^{'} + Z_{S}^{i} C_{ij}^{'} + (Z_{S}^{i})' C_{ij}] V_{S}^{j}}{A_{ij} + Z_{S}^{i} C_{ij}}$$
(15)

and

$$(Z_{S}^{j})' = \frac{\begin{bmatrix} 1 & Z_{S}^{i} \end{bmatrix} \mathbf{Q}'_{ij} \begin{bmatrix} -Z_{S}^{j} \\ 1 \end{bmatrix} + (Z_{S}^{i})' (D_{ij} - Z_{S}^{j} C_{ij})}{A_{ij} + Z_{S}^{i} C_{ij}} .$$
 (16)

If the reflection coefficient at the branch output port is to be calculated (evaluation of branch output return loss), then (14) and (16) are specialized to

$$Z_{S}^{t+1} = \frac{B_{2N+2,t+1}}{A_{2N+2,t+1}}$$
 (17)

and

$$(Z_{S}^{\tau+1})' = \frac{B_{2N+2,\tau+1}' - A_{2N+2,\tau+1}' Z_{S}^{\tau+1}}{A_{2N+2,\tau+1}}.$$
(18)

Norton equivalent admittances and current sources are calculated similar to the Thevenin equivalents. The Norton equivalent admittance at the common port, given by

$$Y_{L}^{2N+2} = \frac{D_{2N+2,1}}{B_{2N+2,1}} , \qquad (19)$$

is used in computation of common port reflection coefficient and return loss [2].

The theory discussed above has been implemented into a computer program for simulation and sensitivity analysis of branched cascaded networks with an arbitrary number of sections and arbitrary number of branch elements. Exact sensitivity analysis can be performed w.r.t. any variable, including frequency.

Consider an arbitrary 4-branch cascaded circuit depicted in Fig. 3. All element values are normalized. The normalized frequency is 1 Hz. Table I shows results of the simulation. Tables II to VII summarize the sensitivities of various responses w.r.t. variables  $\phi_1, \phi_2, ..., \phi_8$ . Sensitivities of responses w.r.t. frequency are given in Table VIII. Table IX gives numerical

values for the gain slope and group delay of all branches. The units for all quantities are SI units except as noted.

A practical application of the theory which we have presented, is the optimal design of contiguous-band multiplexers. We have used our simulation and sensitivity formulas in conjunction with the powerful gradient-based minimax optimization procedure of Hald and Madsen [5] to optimize a 12-channel, 12 GHz multiplexer without dummy channels [6]. The structure under consideration consists of synchronously and asynchronously tuned multicoupled cavity filters distributed along a waveguide manifold. Waveguide spacings, input and output transformer ratios, cavity resonant frequencies as well as intercavity couplings are used as optimization variables. A lower specification of 20 dB on return loss has been imposed. The problem involves 60 nonlinear design variables. The filters are assumed lossy and dispersive; waveguide junctions are assumed nonideal. The results of optimization are shown in Fig. 4.

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NUMERICAL VALUES OF THE RESPONSES FOR THE 4-BRANCH
CASCADED NETWORK OF FIG. 3

TABLE I

Type of Response	Branch 1 <sup>†</sup>	Branch 2	Branch 3	Branch 4
output voltage	0.03624 -j0.07487	-0.07595 -j0.06875	0.05983 -j0.04039	-15.00361 +j1.16405
Thevenin equivalent voltage*	0.03008 -j0.07785	0.03529 -j0.30176	0.03193 -j0.08172	-15.65346 -j2.31876
Thevenin equivalent impedance*	0.00003 -j0.08225	0.72129 + j2.41490	0.00004 -j0.69080	0.02515 +j0.23408
insertion loss (dB)	55.57892	53.76940	56.81050	10.42942
branch port return loss (dB)	0.00055	1.72670	0.00052	0.41430

common port return loss = 0.41243 dB

<sup>&</sup>lt;sup>†</sup> Branch 1 is the furthest from the common port.

<sup>\*</sup> Thevenin equivalents for each branch are evaluated at the reference plane just before the load corresponding to that branch.

TABLE II

SENSITIVITIES OF BRANCH OUTPUT VOLTAGES W.R.T.

VARIABLE PARAMETERS FOR THE CIRCUIT OF FIG. 3

Variable	Branch 1	Branch 2	Branch 3	Branch 4
Φ <sub>1</sub>	-0.09888	0.01602	-0.12152	-0.06148
• 1	+j0.19690	+j0.01904	+j0.07920	+j0.43382
$\Phi_2$	-0.02178	0.00008	-0.00083	-0.00081
- 2	+j0.03689	+j0.00013	+j0.00037	+j0.00263
φ <sub>3</sub> (per Gm)	0.41840	0.42340	-3.17461	-1.54074
- 3 - 1	-j1.02730	+j0.49683	+j2.09775	+j11.39034
$\Phi_4$	-0.00015	0.02421	-0.00152	-0.00123
- <b>4</b>	+j0.00018	+j0.02442	+j0.00078	+j0.00500
φ <sub>5</sub> (per Gm)	-0.00000	-0.00000	-0.84583	-0.00000
J	-j0.00000	+j0.00000	-j1.25308	-j0.00000
φ <sub>6</sub> (per Gm)	0.42131	-1.05647	0.75952	-1.32161
o .	-j1.01718	-j0.85004	-j0.57964	+j10.30781
$\Phi_7$	0.00216	0.00347	0.00061	0.16241
•	+j0.00231	-j0.00175	+j0.00267	+j0.04932
$\Phi_8$	0.03997	-0.14168	0.08734	-12.42431
3	-j0.13157	-j0.09279	-j0.08130	+j0.17372

TABLE III

SENSITIVITIES OF THEVENIN EQUIVALENT VOLTAGE SOURCES W.R.T.

VARIABLE PARAMETERS FOR THE CIRCUIT OF FIG. 3

Variable	Branch 1	Branch 2	Branch 3	Branch 4
$\Phi_1$	-0.08217	-0.01837	-0.06664	-0.20599
	+j0.20531	+j0.07139	+j0.16342	-j0.03020
$\Phi_2$	-0.01556	-0.00019	-0.00058	-0.00125
	+j0.04023	+j0.00043	+j0.00095	-j0.00039
φ <sub>3</sub> (per Gm)	0.33572	-0.47001	-1.72106	-5.40867
	-j1.06095	+j1.87577	+j4.29805	-j0.75803
$\Phi_4$	-0.00014	-0.01427	-0.00097	-0.00237
	+j0.00020	+j0.08775	+j0.00183	-j0.00060
$\varphi_5  (\text{per Gm})$	-0.00000	-0.00000	-0.46211	0.00000
	-j0.00000	-j0.00000	+j1.18227	-j0.00000
φ <sub>6</sub> (per Gm)	0.33964	0.24151	0.36033	-4.89480
	-j1.05097	-j4.04905	-j1.10264	-j0.65144
Φ <sub>7</sub>	0.00235 +j0.00213	0.01021 +j0.00537	0.00246 +j0.00225	$0.15861 \\ +j0.03550$
$\Phi_8$	0.02916	-0.01986	0.03119	-13.35199
	-j0.13486	-j0.50186	-j0.14165	-j1.80362

TABLE IV  ${\tt SENSITIVITIES\,OF\,THEVENIN\,EQUIVALENT\,IMPEDANCES\,W.R.T.}$   ${\tt VARIABLE\,PARAMETERS\,FOR\,THE\,CIRCUIT\,OF\,FIG.\,3}$ 

Variable	Branch 1	Branch 2	Branch 3	Branch 4
$\Phi_1$	-0.00017 + j0.00707	0.00019 +j0.00077	-0.00016 +j0.00450	0.00038 +j0.03072
$\Phi_2$	-0.00003 +j0.04250	$0.00000 \\ 0.00000$	-0.00001 +j0.00003	-0.00003 +j0.00019
$\phi_3$ (per Gm)	0.00085 + j0.02364	0.00501 +j0.02006	-0.00334 +j0.11797	0.01498 +j0.80592
$\Phi_4$	-0.00000 + j0.00000	0.06160 +j0.11222	-0.00001 +j0.00005	-0.00003 +j0.00036
φ <sub>5</sub> (per Gm)	-0.00000 -j0.00000	-0.00000 +j0.00000	-0.00129 +j30.93848	-0.00000 -j0.00000
φ <sub>6</sub> (per Gm)	0.00066 +j0.02605	0.17452 +j0.29796	0.00051 +j0.02879	0.01860 +j0.72854
$\Phi_7$	-0.00000 +j0.00000	-0.00000 +j0.00001	-0.00000 +j0.00000	-0.00052 +j0.00350
$\Phi_8$	0.00005 + j0.00000	0.00058 -j0.00028	0.00005 + j0.00000	0.04283 -j0.05844

TABLE V  ${\tt SENSITIVITIES\ OF\ INSERTION\ LOSS\ W.R.T.}$   ${\tt VARIABLE\ PARAMETERS\ FOR\ THE\ CIRCUIT\ OF\ FIG.\ 3}$ 

Variable	Branch 1	Branch 2	Branch 3	Branch 4
$\Phi_1$	23.00568	2.09034	17.45152	-0.05475
$\Phi_2$	4.45823	0.01270	0.10816	-0.00059
$\phi_3$ (per Gm)	-115.59096	54.88169	457.83905	-1.39517
$\Phi_4$	0.02412	2.91144	0.20388	-0.00093
$\varphi_5(\text{per}Gm)$	-0.00000	-0.00000	0.00000	-0.00000
$\varphi_6(\text{per}Gm)$	-114.77168	-114.77168	-114.77168	-1.22074
$\Phi_7$	0.11859	0.11859	0.11859	0.09126
Φ <sub>8</sub>	-14.18466	-14.18466	-14.18466	-7.15740

TABLE VI

SENSITIVITIES OF BRANCH PORT RETURN LOSS W.R.T.

VARIABLE PARAMETERS FOR THE CIRCUIT OF FIG. 3

Variable	Branch 1	Branch 2	Branch 3	Branch 4
$\Phi_1$	-0.00292	-0.00050	-0.00183	0.00055
$\Phi_2$	-0.00057	-0.00000	-0.00006	-0.00050
$\phi_3$ (per Gm)	0.01465	-0.01278	-0.03917	0.09851
$\Phi_4$	-0.00000	-0.00071	-0.00008	-0.00059
$\varphi_5(\text{per Gm})$	-0.00000	-0.00000	0.00000	-0.00000
$\phi_6$ (per Gm)	0.01133	0.02123	0.00598	0.17232
$\Phi_7$	-0.00001	-0.00002	-0.00001	-0.00913
$\Phi_8$	0.00084	0.00155	0.00063	0.71641

TABLE VII

SENSITIVITIES OF COMMON PORT RETURN LOSS W.R.T.

VARIABLE PARAMETERS FOR THE CIRCUIT OF FIG. 3

Variab	e	Sensitivity	ţ,
$\Phi_1$		0.00533	
ullet $ullet$		0.00004	
$\Phi_3$	per Gm)	0.13797	
$\Phi_4$		0.00008	
Ф <sub>5</sub> (	oer Gm)	0.00000	
$\Phi_6$	oer Gm)	0.12286	
$\Phi_7$		-0.00909	
$\Phi_8$		0.71310	

SENSITIVITIES OF VARIOUS RESPONSES W.R.T.  $ANGULAR \ FREQUENCY \ \omega \ FOR \ THE \ CIRCUIT \ OF \ FIG. \ 3$ 

TABLE VIII

Type of Response	Branch 1	Branch 2	Branch 3	Branch 4
output	-0.17778	0.03944	-0.44100	2.39068
voltage	+j0.33120	+j0.08791	+j0.26906	+j7.36235
Thevenin	-0.14889	-0.10880	-0.24384	0.15888
equivalent voltage	+j0.34666	+j0.09567	+j0.5905 <b>5</b>	+j0.51010
Thevenin	-0.00028	0.73081	-0.00051	-0.00138
equivalent impedance	+j0.02219	+j1.32535	+j0.28101	+j0.50624
branch port return loss	-0.00484	-0.00153	-0.00590	-0.11597

sensitivity of common port return loss = -0.10460

TABLE IX

## GAIN SLOPE AND GROUP DELAY FOR THE CIRCUIT OF FIG. 3

Type of response	Branch 1	Branch 2	Branch 3	Branch 4
gain slope (dB/Hz)	246.411	47.006	390.162	6.579
group delay (s)	0.18892	0.37785	0.32862	0.50006

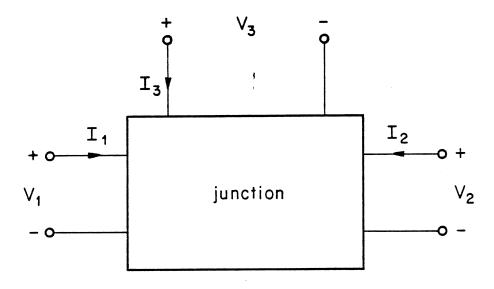


Fig. 1 A 3-port network in which ports 1 and 2 are considered along a main cascade and port 3 represents a branch of the main cascade.

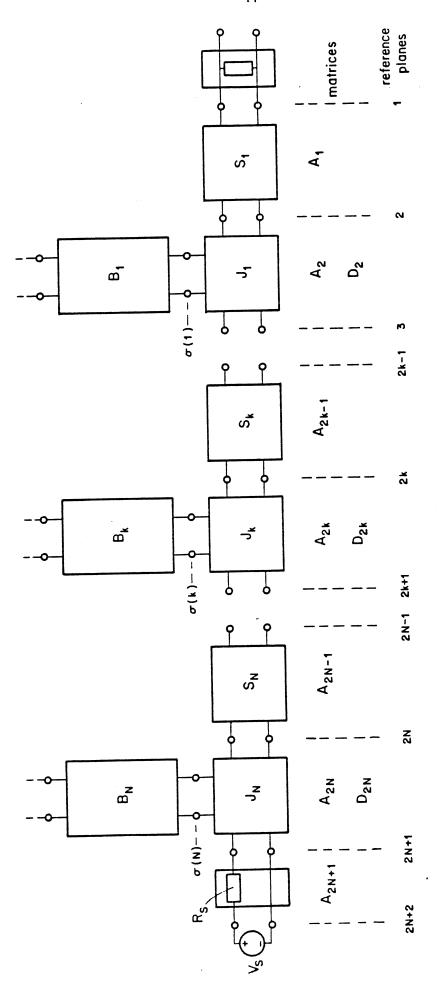


Illustration of principal concepts involved in branched cascaded network simulation showing reference planes and transmission matrices. Fig. 2

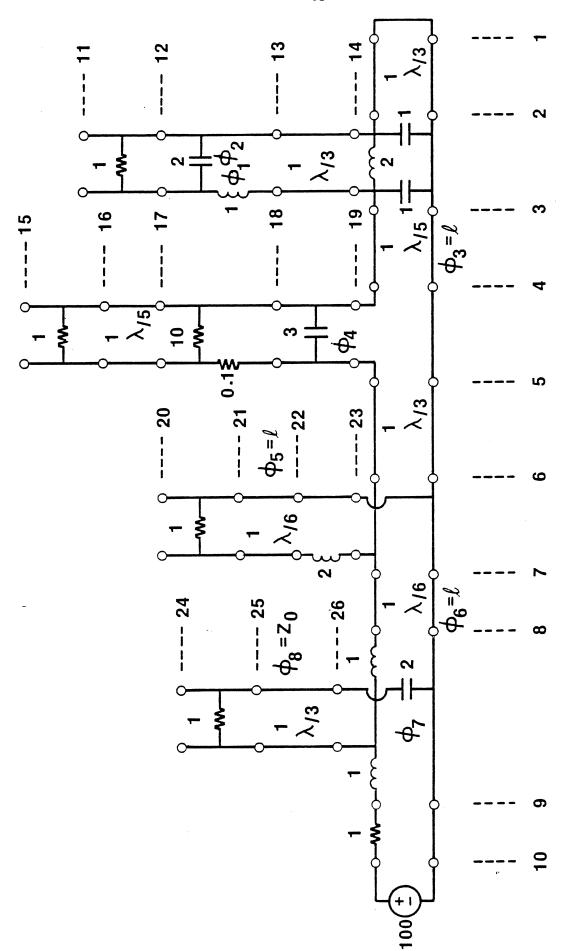
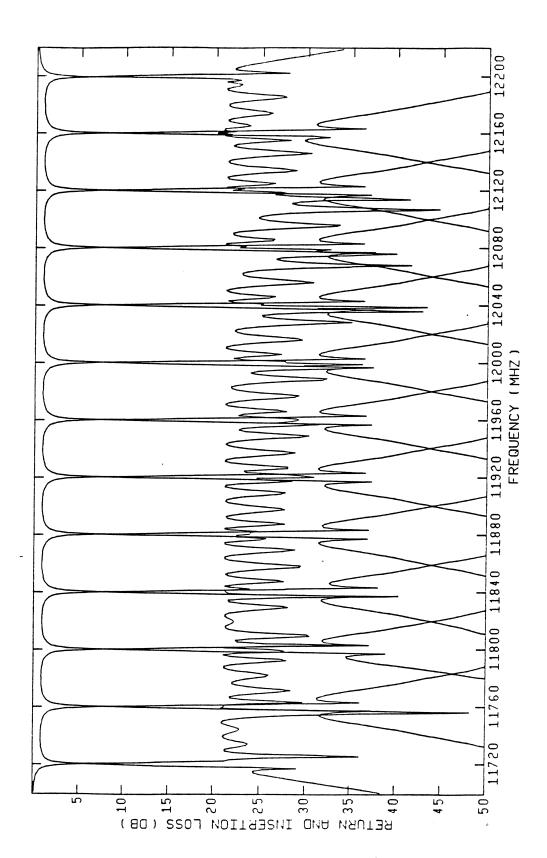


Illustration of an arbitrary 4-branch cascaded circuit with short-circuit termination of the main cascade. Lossy elements as well as transmission lines are included. Fig. 3



Responses of a 12-channel multiplexer without dummy channels with optimized spacings, input-output transformer ratios, cavity resonances and coupling parameters.

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