

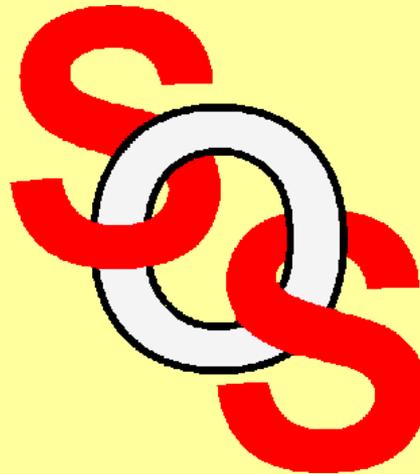
# NEURAL SPACE MAPPING OPTIMIZATION FOR EM-BASED DESIGN OF RF AND MICROWAVE CIRCUITS

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## **Neural Space Mapping Optimization for EM-based Design of RF and Microwave Circuits**

### outline

conventional ANN approach for microwave design

NSM optimization

coarse optimization phase

SM-based neuromodeling

SM-based neuromodel optimization

examples

conclusions



## **Artificial Neural Networks (ANN) in Microwave Design**

ANNs are suitable models for microwave circuit optimization and statistical design (*Zaabab, Zhang and Nakhla, 1995, Gupta et al., 1996, Burrascano and Mongiardo, 1998, 1999*)

once they are trained, the neuromodels can be used for optimization within the region of training

the principal drawback of this ANN optimization approach is the cost of generating sufficient learning samples

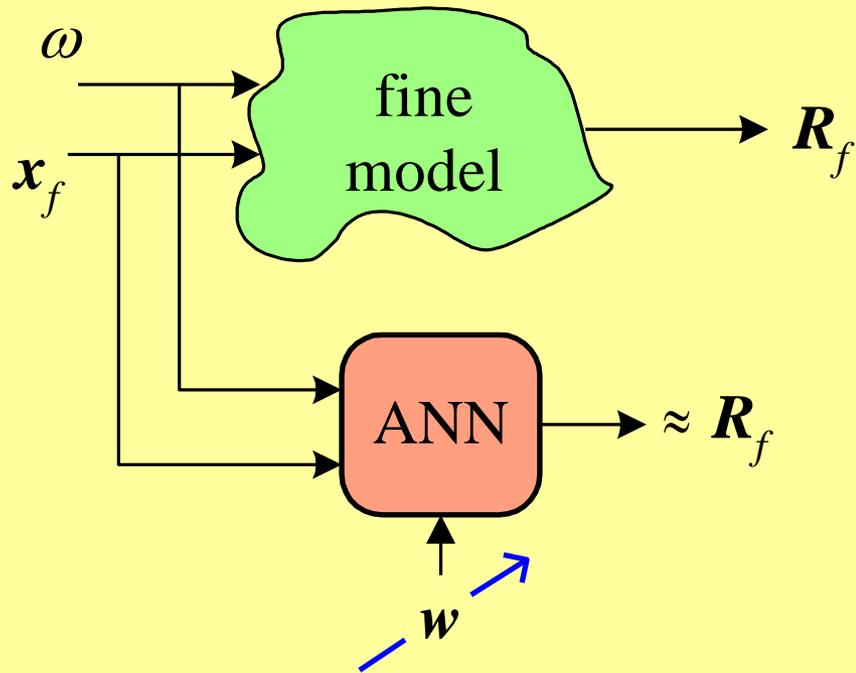
the extrapolation ability of neuromodels is very poor, making unreliable any solution predicted outside the training region

introducing knowledge can alleviate these limitations (*Gupta et al., 1999*)



## Conventional ANN Optimization Approach

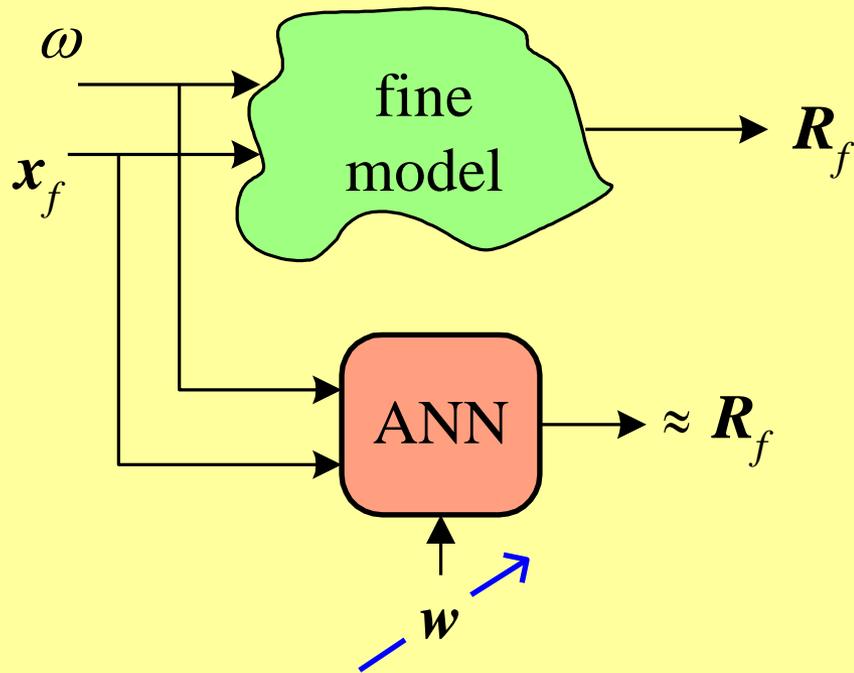
step 1



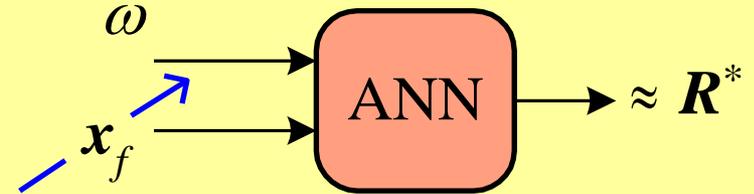


## Conventional ANN Optimization Approach

step 1



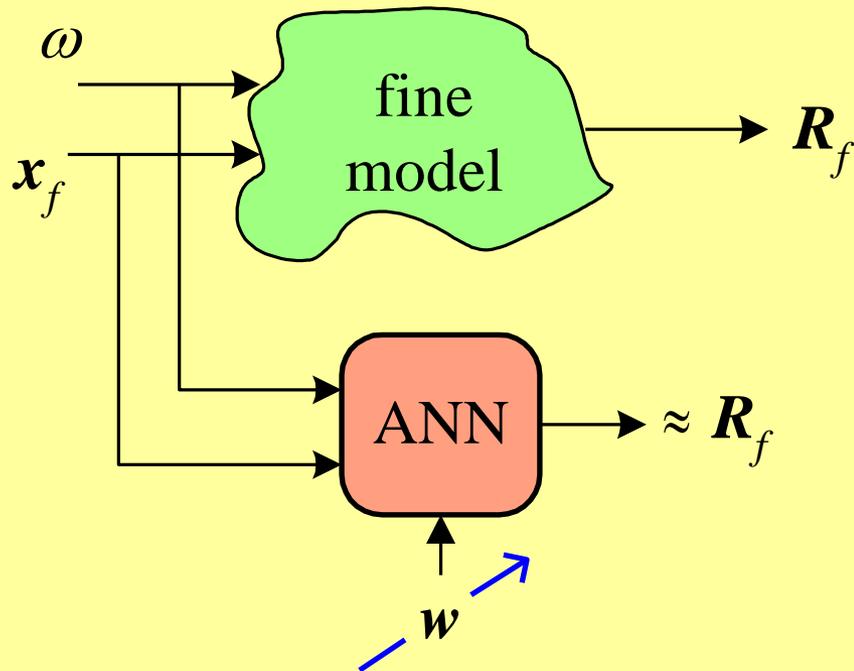
step 2



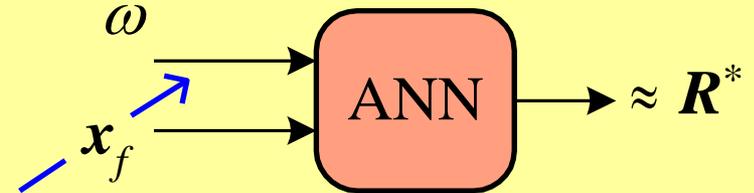


## Conventional ANN Optimization Approach

step 1



step 2



many fine model simulations are usually needed  
solutions predicted outside the training region are unreliable



## **Neural Space Mapping (NSM) Optimization**

exploits the SM-based neuromodeling techniques  
(*Bandler et al., 1999*)

coarse models are used as sources of knowledge that reduce the amount of learning data and improve the generalization and extrapolation performance

NSM requires a reduced set of upfront learning base points

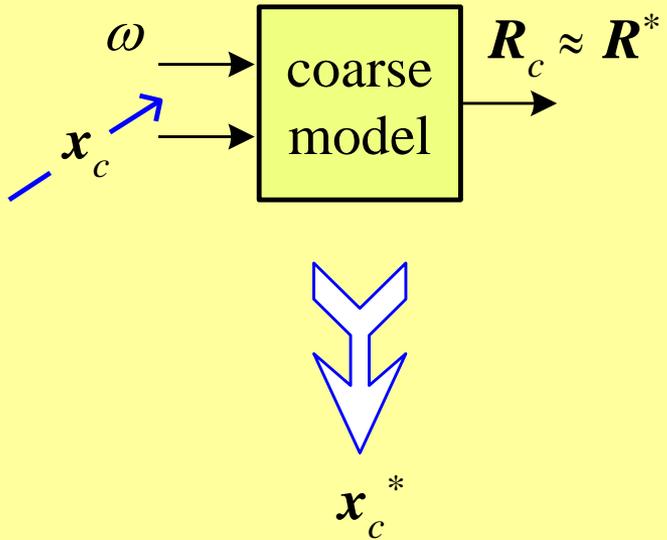
the initial learning base points are selected through sensitivity analysis using the coarse model

neuromappings are developed iteratively: their generalization performance is controlled by gradually increasing their complexity starting with a 3-layer perceptron with 0 hidden neurons



## Neural Space Mapping (NSM) Optimization Concept

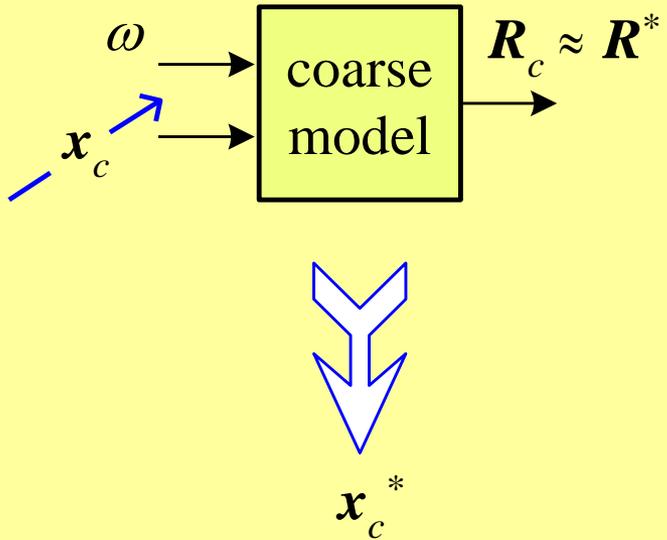
step 1



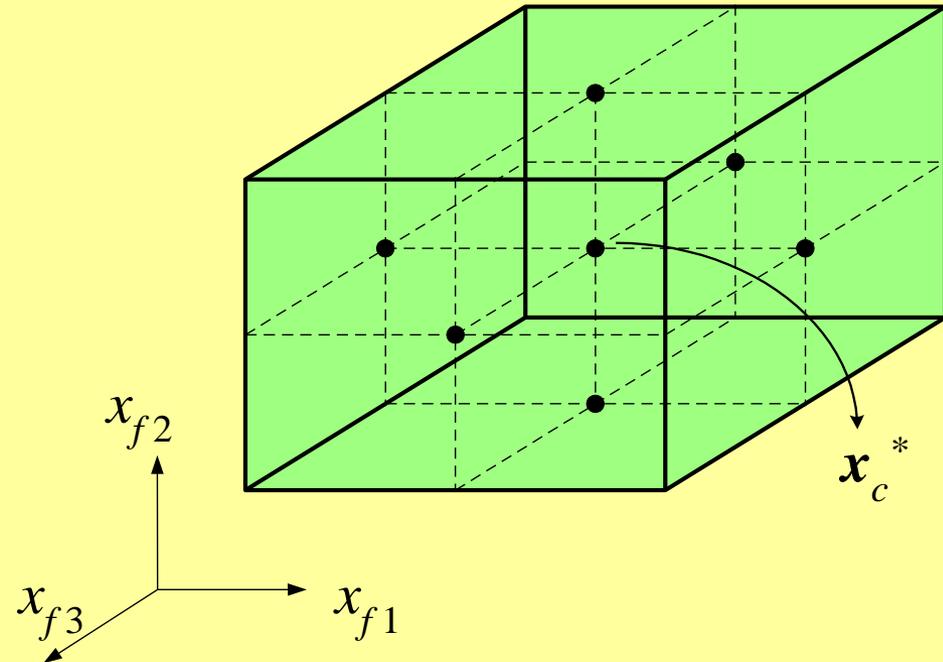


## Neural Space Mapping (NSM) Optimization Concept

step 1



step 2

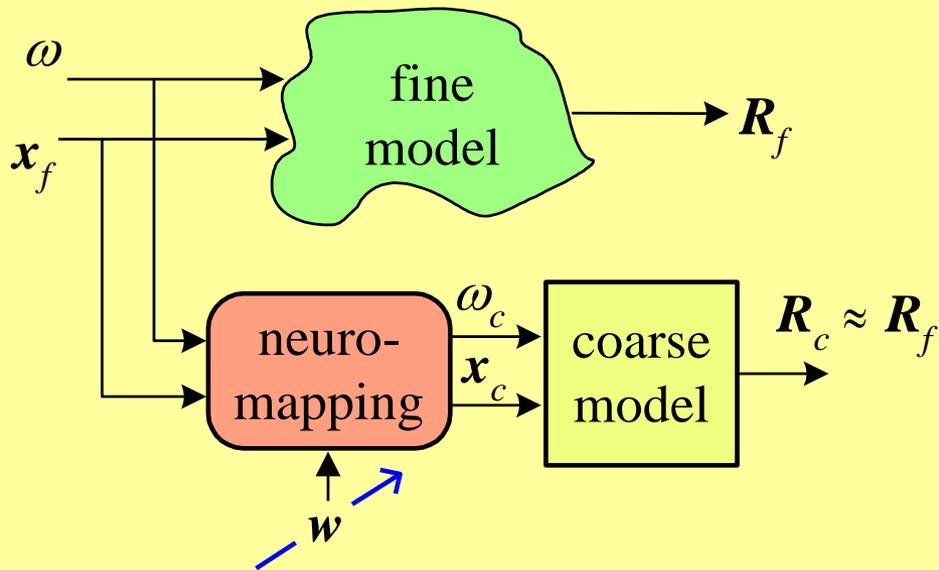


( $2n + 1$  learning base points for a microwave circuit with  $n$  design parameters)



## Neural Space Mapping (NSM) Optimization Concept (continued)

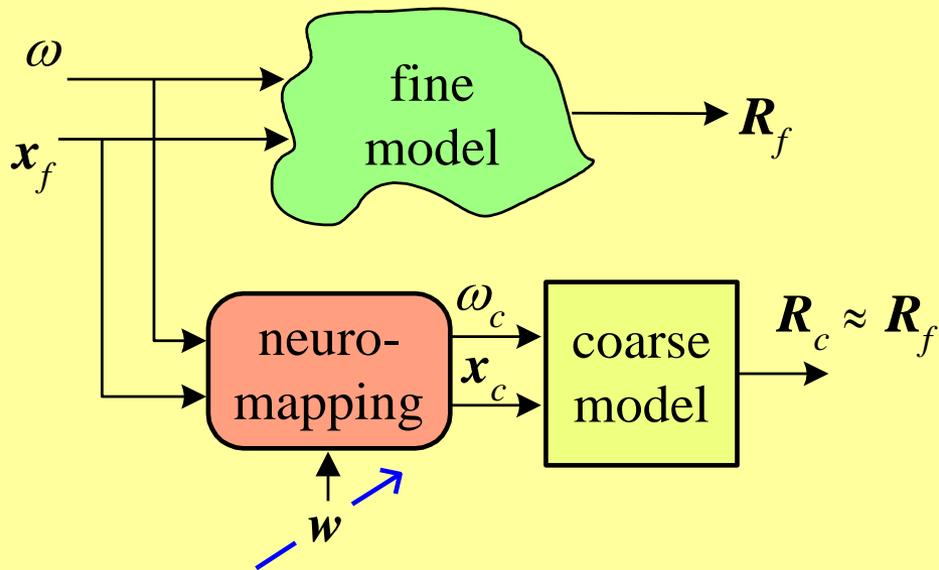
step 3



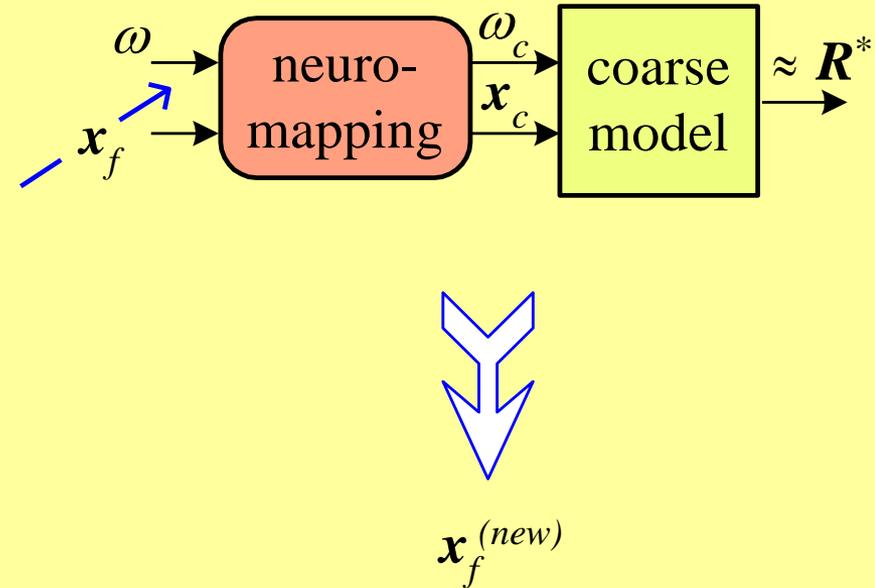


## Neural Space Mapping (NSM) Optimization Concept (continued)

step 3



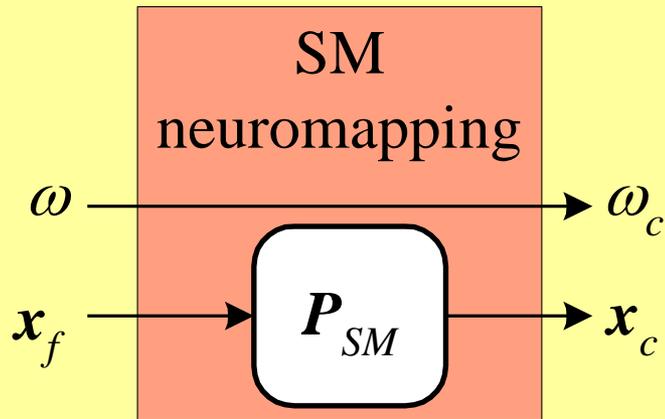
step 4



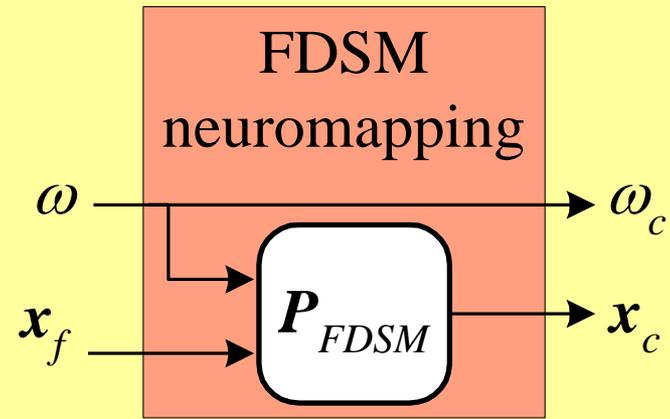


## Neuromappings

Space Mapped neuromapping



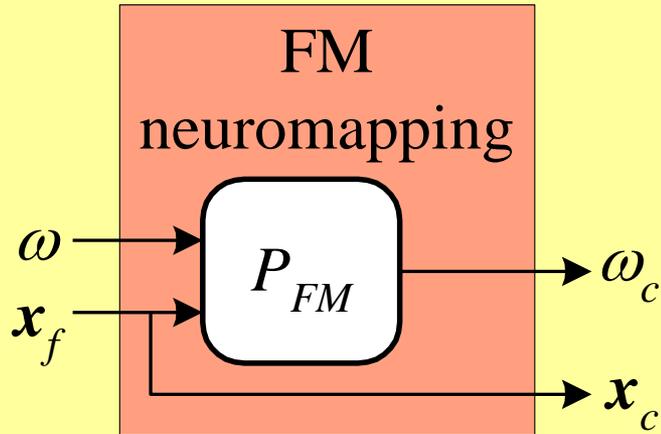
Frequency-Dependent Space Mapped neuromapping



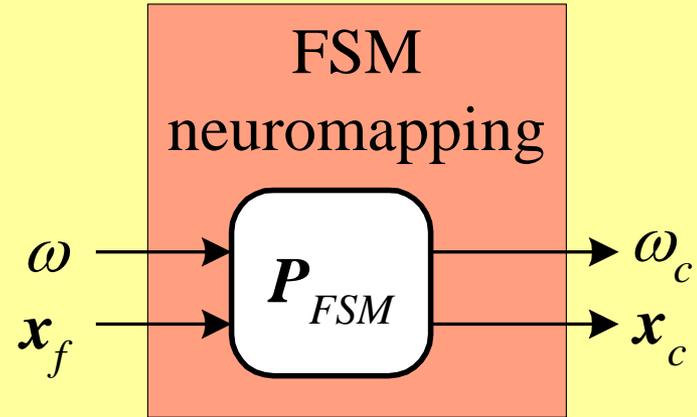


## Neuromappings (continued)

Frequency Mapped neuromapping



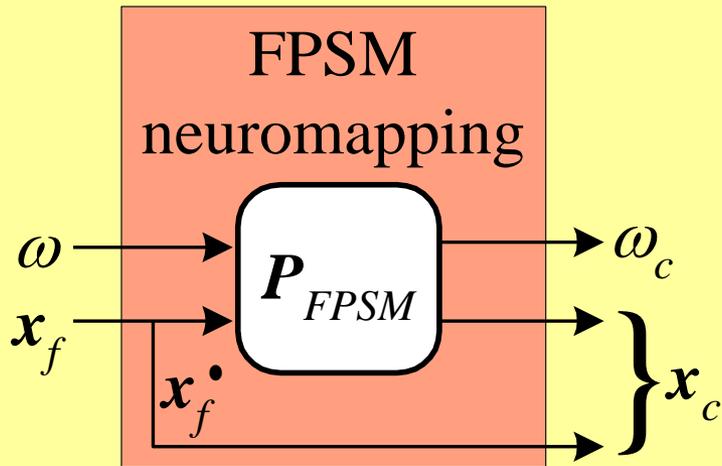
Frequency Space  
Mapped neuromapping





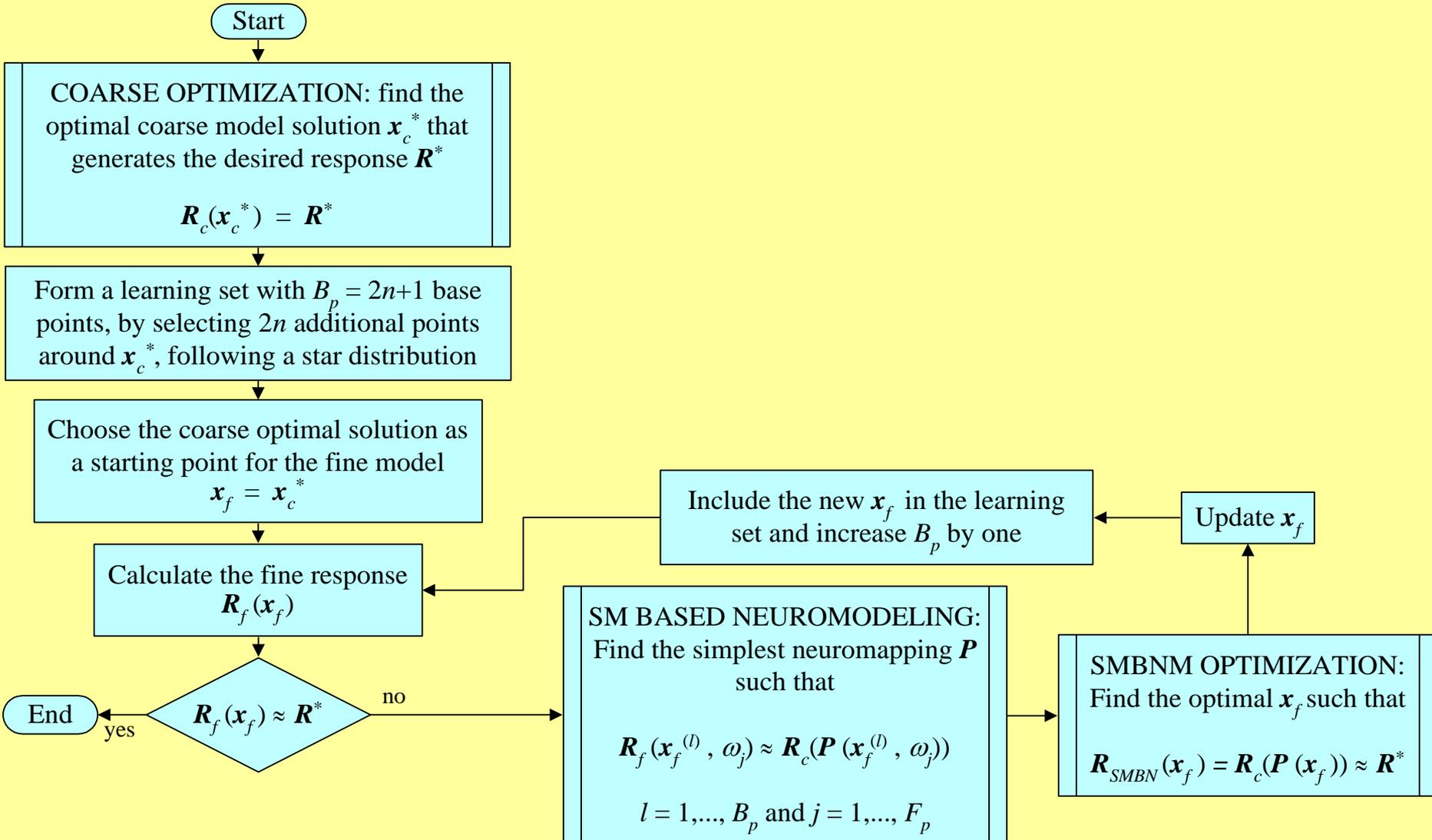
## Neuromappings (continued)

Frequency Partial-Space  
Mapped neuromapping





## Neural Space Mapping (NSM) Optimization Algorithm





## Coarse Optimization Phase

$$\mathbf{R}_c(\mathbf{x}_c) = [\mathbf{R}_c^1(\mathbf{x}_c)^T \quad \dots \quad \mathbf{R}_c^r(\mathbf{x}_c)^T]^T$$

$$\mathbf{R}_c^k(\mathbf{x}_c) = [R_c^k(\mathbf{x}_c, \omega_1) \quad \dots \quad R_c^k(\mathbf{x}_c, \omega_{F_p})]^T \quad k = 1, \dots, r$$

the problem of circuit design using the coarse model is formulated as

$$\mathbf{x}_c^* = \arg \min_{\mathbf{x}_c} U(\mathbf{R}_c(\mathbf{x}_c))$$

where  $U$  is a suitable objective function



## Training the SM-Based Neuromodel During NSM Optimization

$$\mathbf{w}^* = \arg \min_{\mathbf{w}} \left\| [\dots \mathbf{e}_s^T \dots]^T \right\|$$

$$\mathbf{e}_s = \mathbf{R}_f(\mathbf{x}_f^{(l)}, \omega_j) - \mathbf{R}_c(\mathbf{x}_{c_j}^{(l)}, \omega_{c_j}) \quad \mathbf{e}_s \in \mathfrak{R}^r$$

$$\begin{bmatrix} \mathbf{x}_{c_j}^{(l)} \\ \omega_{c_j} \end{bmatrix} = \mathbf{P}^{(i)}(\mathbf{x}_f^{(l)}, \omega_j, \mathbf{w})$$

$$j = 1, \dots, F_p \quad l = 1, \dots, 2n + i \quad s = j + F_p(l - 1)$$

$\mathbf{P}^{(i)}$  is the input-output relationship of the ANN at the  $i$ th iteration

$\mathbf{w}$  contains the free parameters of the current ANN

$2n+i$  is the number of training base points and  $F_p$  is the number of frequency points



## SM-Based Neuromodel Optimization

we use an SM-based neuromodel as an improved coarse model

$$\mathbf{R}_{SMBN}(\mathbf{x}_f) = [\mathbf{R}_{SMBN}^1(\mathbf{x}_f)^T \quad \dots \quad \mathbf{R}_{SMBN}^r(\mathbf{x}_f)^T]^T$$

$$\mathbf{R}_{SMBN}^k(\mathbf{x}_f) = [R_c^k(\mathbf{x}_{c1}, \omega_{c1}) \quad \dots \quad R_c^k(\mathbf{x}_{cF_p}, \omega_{cF_p})]^T \quad k = 1, \dots, r$$

$$\begin{bmatrix} \mathbf{x}_{c_j} \\ \omega_{c_j} \end{bmatrix} = \mathbf{P}^{(i)}(\mathbf{x}_f, \omega_j, \mathbf{w}^*) \quad j = 1, \dots, F_p$$

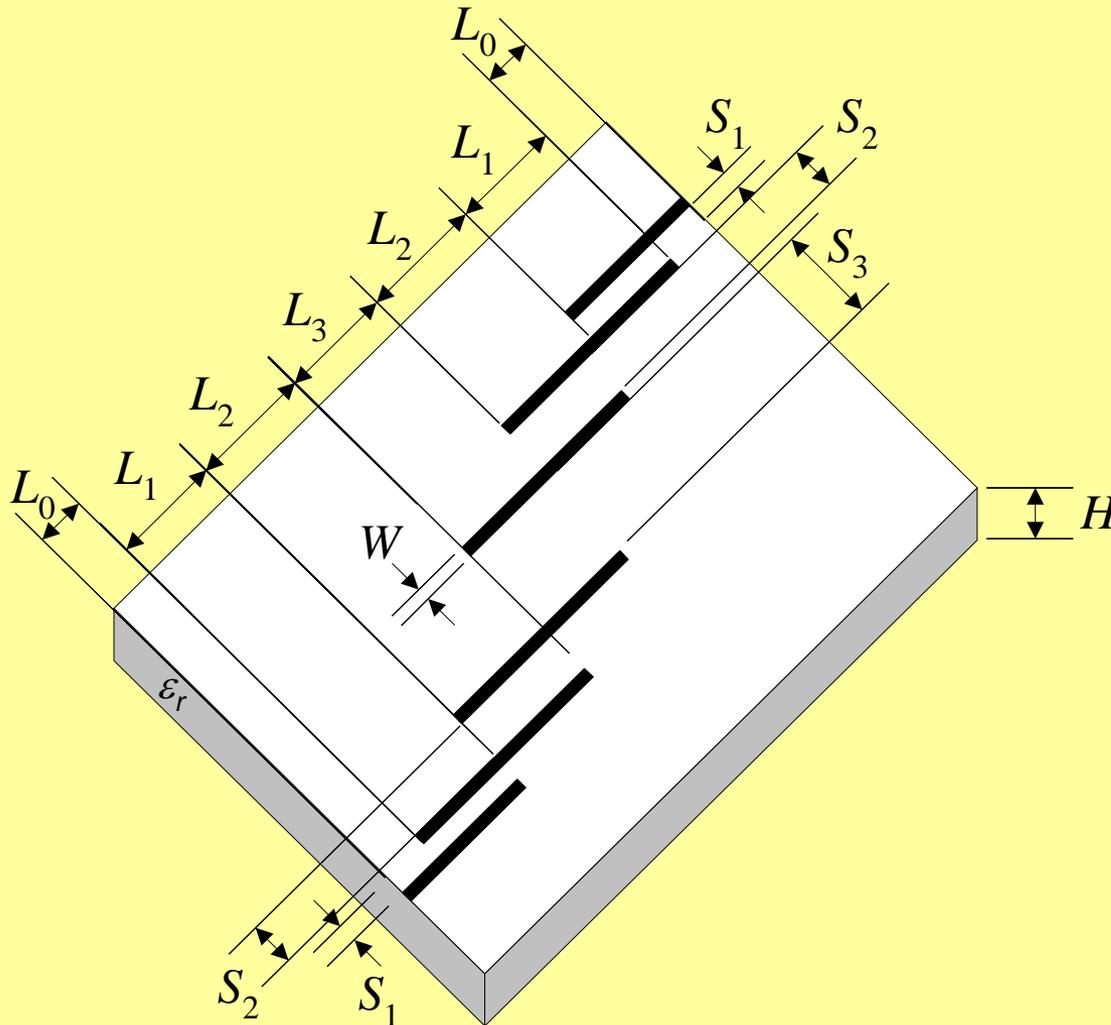
the next iterate is obtained by solving  $\mathbf{x}_f^{(2n+i+1)} = \arg \min_{\mathbf{x}_f} U(\mathbf{R}_{SMBN}(\mathbf{x}_f))$

if an SMN is used to implement  $\mathbf{P}^{(i)}$   $\mathbf{x}_f^{(2n+i+1)} = \arg \min_{\mathbf{x}_f} \left\| \mathbf{P}_{SM}^{(i)}(\mathbf{x}_f, \mathbf{w}^*) - \mathbf{x}_c^* \right\|$



## HTS Quarter-Wave Parallel Coupled-Line Microstrip Filter

(Westinghouse, 1993)



we take  $L_0 = 50$  mil,  $H = 20$  mil,  
 $W = 7$  mil,  $\epsilon_r = 23.425$ , loss  
tangent =  $3 \times 10^{-5}$ ; the  
metalization is considered  
lossless

the design parameters are  
 $\mathbf{x}_f = [L_1 \ L_2 \ L_3 \ S_1 \ S_2 \ S_3]^T$



## NSM Optimization of the HTS Microstrip Filter

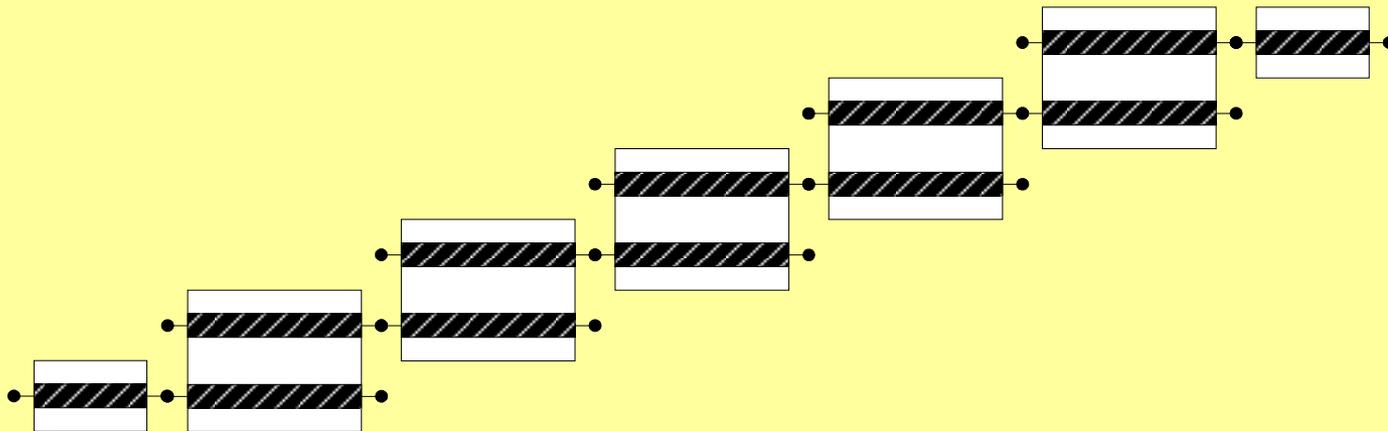
specifications

$$|S_{21}| \geq 0.95 \text{ for } 4.008 \text{ GHz} \leq f \leq 4.058 \text{ GHz}$$

$$|S_{21}| \leq 0.05 \text{ for } f \leq 3.967 \text{ GHz and } f \geq 4.099 \text{ GHz}$$

“fine” model: Sonnet’s *em*<sup>TM</sup> with high resolution grid

“coarse” model: OSA90/hope<sup>TM</sup> built-in models of open circuits, microstrip lines and coupled microstrip lines

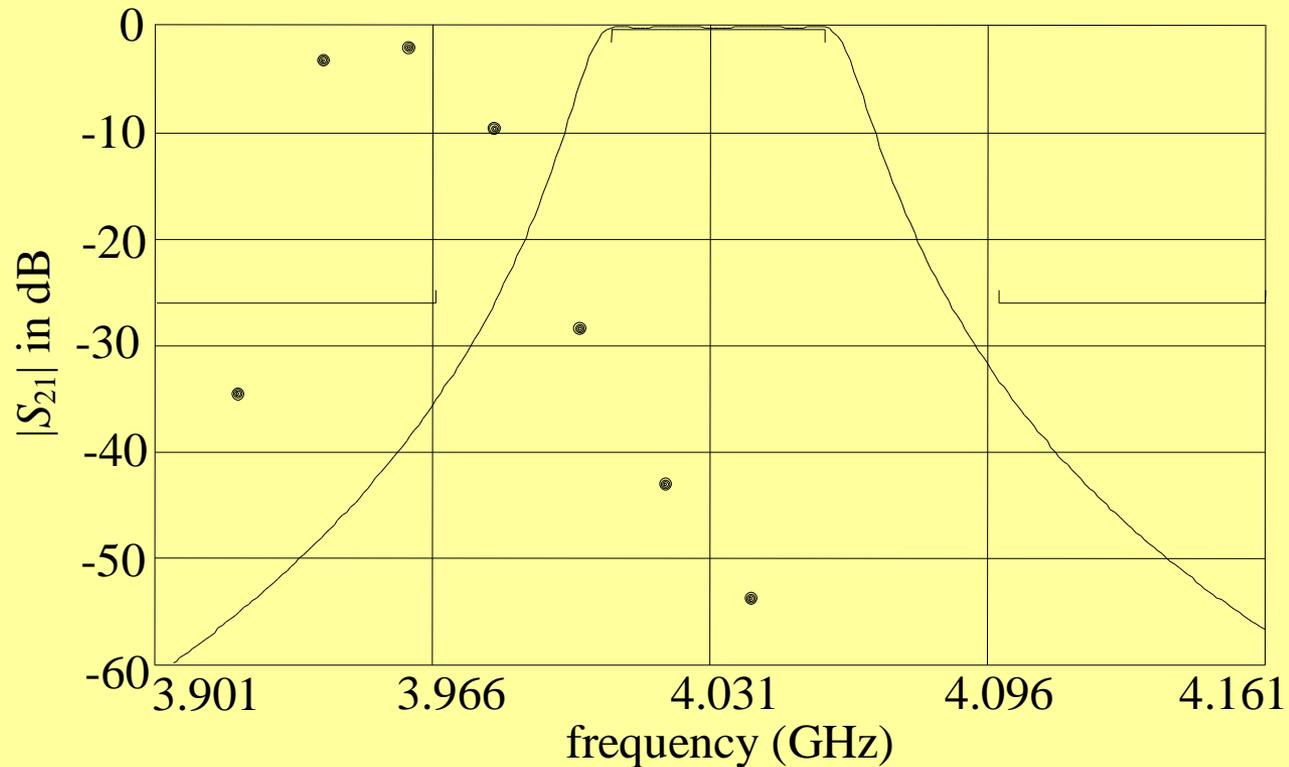




## NSM Optimization of the HTS Filter (continued)

coarse and fine model responses at the optimal coarse solution

OSA90/hope™ (—) and *em*™ (●)



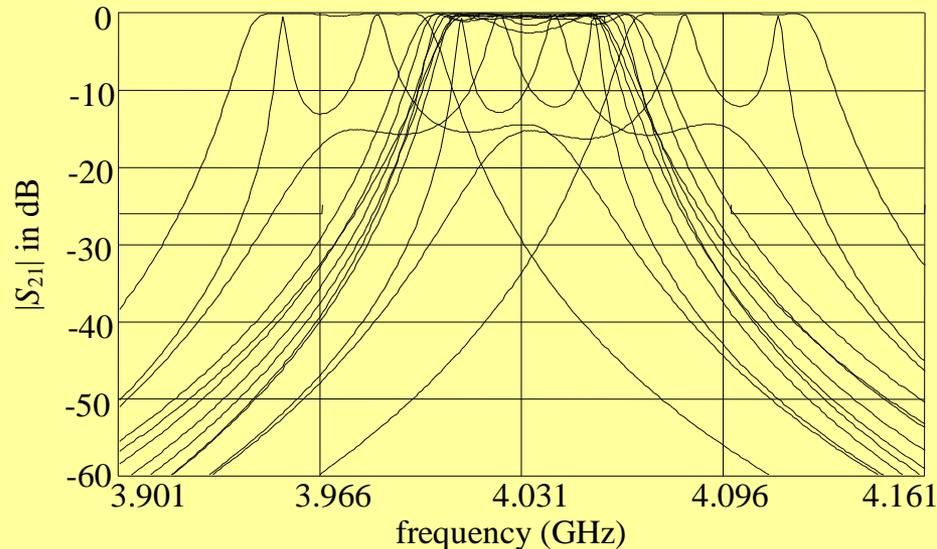


## NSM Optimization of the HTS Filter (continued)

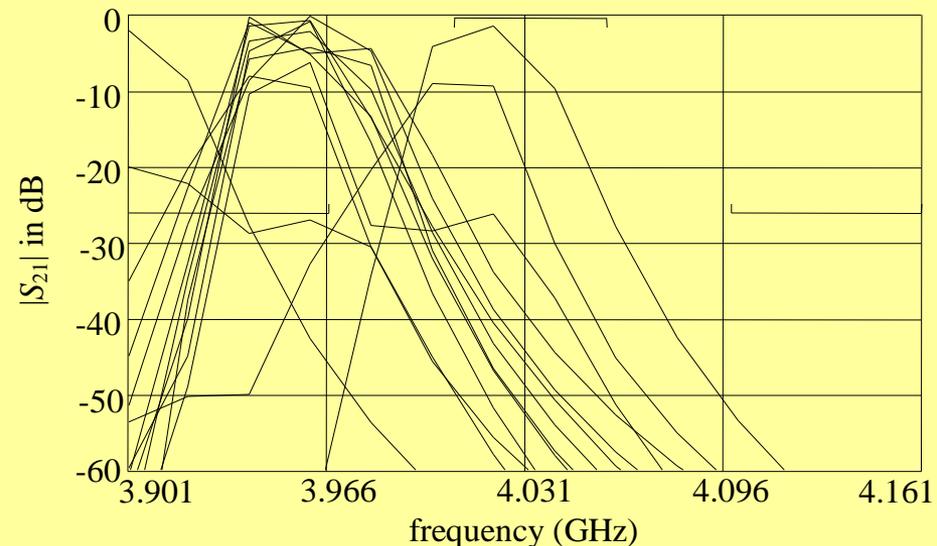
the initial  $2n+1$  points are chosen by performing sensitivity analysis on the coarse model: a 3% deviation from  $\mathbf{x}_c^*$  for  $L_1$ ,  $L_2$ , and  $L_3$  is used, while a 20% is used for  $S_1$ ,  $S_2$ , and  $S_3$

coarse and fine model responses at base points

OSA90/hope<sup>TM</sup>



*em*<sup>TM</sup>

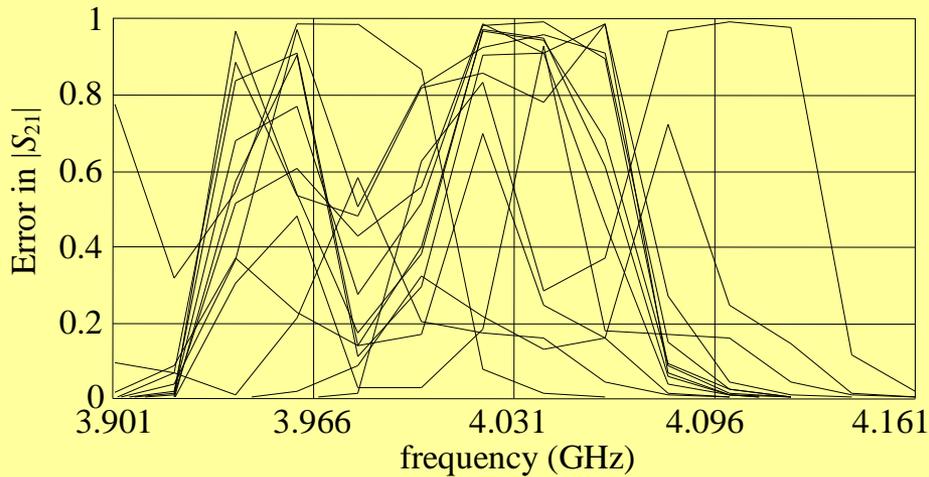




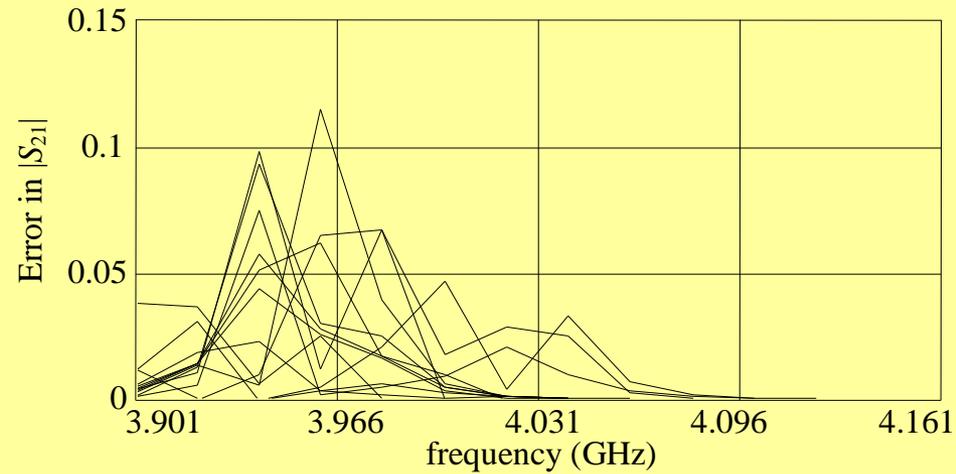
## NSM Optimization of the HTS Filter (continued)

learning errors at base points

before any neuromapping



mapping  $\omega$ ,  $L_1$  and  $S_1$  with a 3LP:-7-5-3

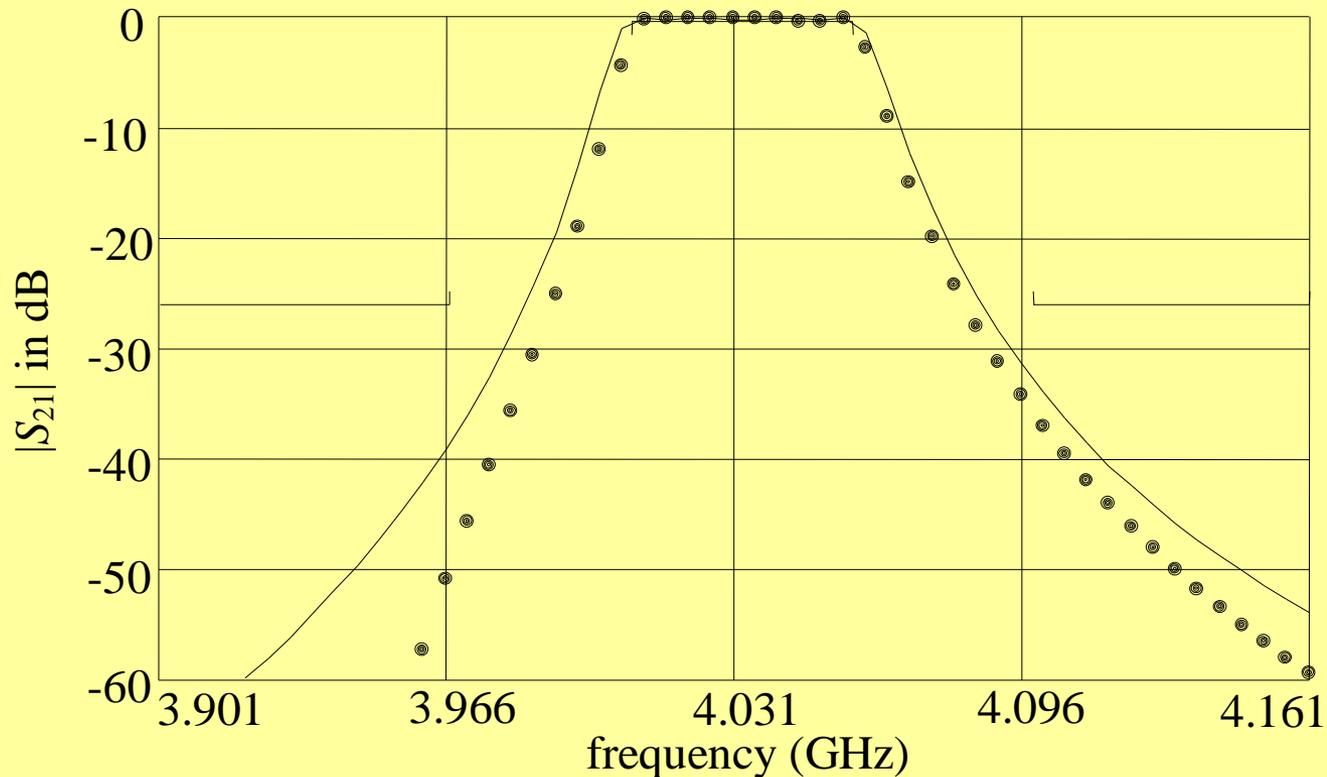




## NSM Optimization of the HTS Filter (continued)

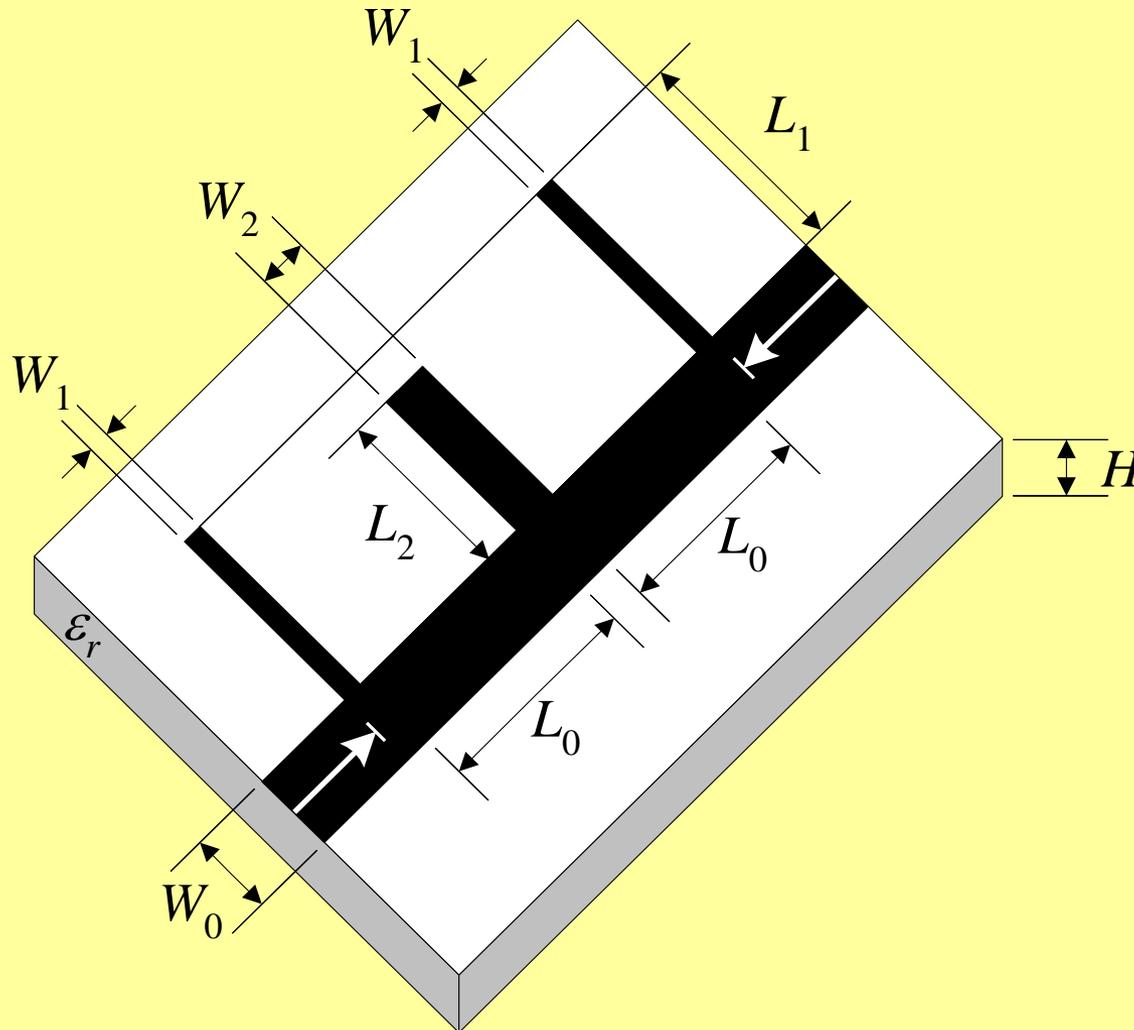
fine model response (●) at the next point predicted by the first NSM iteration and optimal coarse response (—)

(3LP:7-5-3,  $\omega$ ,  $L_1$ ,  $S_1$ )





## Bandstop Microstrip Filter with Quarter-Wave Open Stubs



we take  $H = 25$  mil,  $W_0 = 25$  mil,  $\epsilon_r = 9.4$  (alumina)

the design parameters are  
 $\mathbf{x}_f = [W_1 \ W_2 \ L_0 \ L_1 \ L_2]^T$



## NSM Optimization of the Bandstop Filter

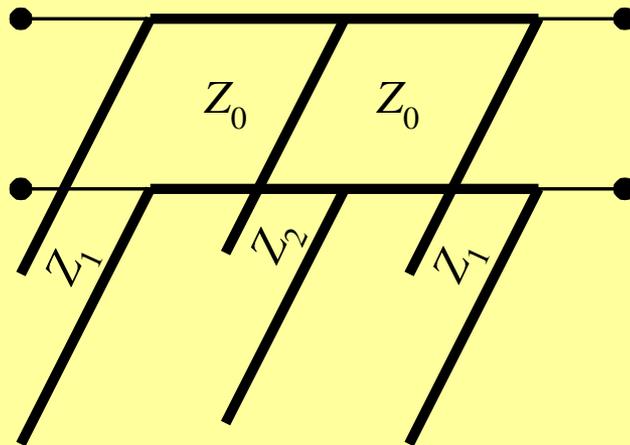
specifications

$$|S_{21}| \leq 0.05 \text{ for } 9.3 \text{ GHz} \leq f \leq 10.7 \text{ GHz}$$

$$|S_{21}| \geq 0.9 \text{ for } f \leq 8 \text{ GHz and } f \geq 12 \text{ GHz}$$

“fine” model: Sonnet’s *em*<sup>TM</sup> with high resolution grid

“coarse” model: transmission line sections and empirical formulas

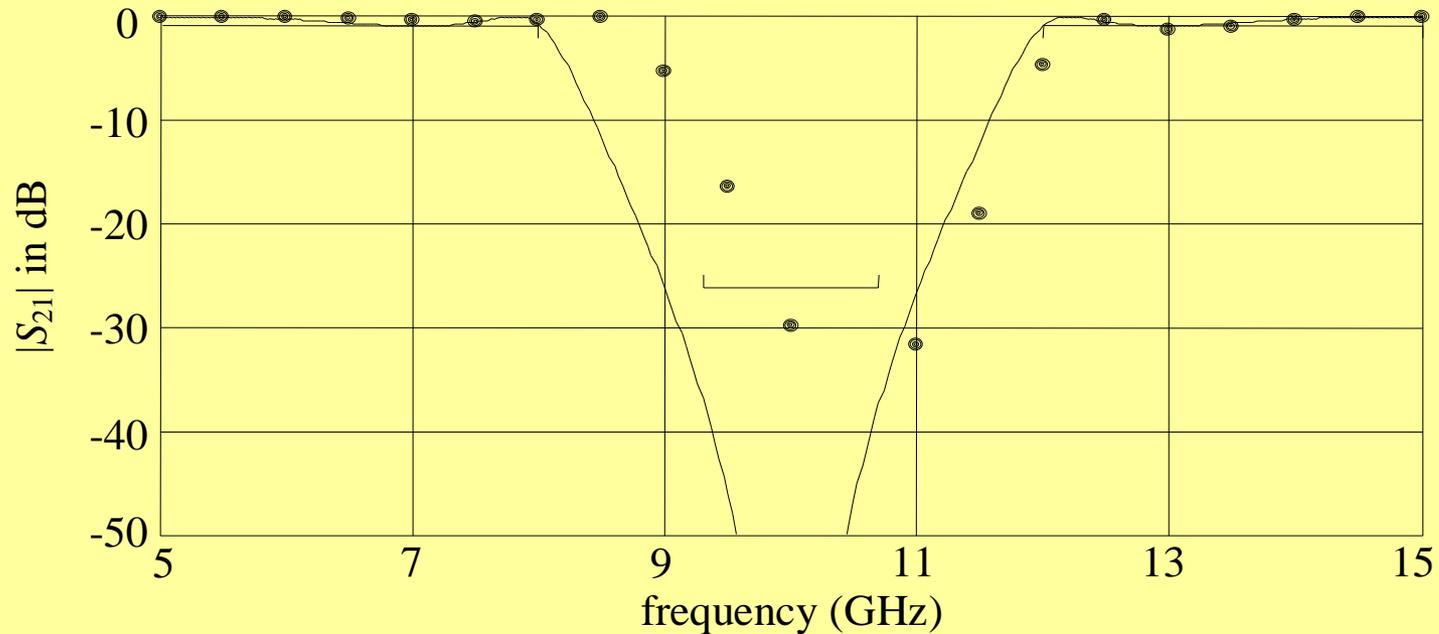




## NSM Optimization of the Bandstop Filter (continued)

coarse and fine model responses at the optimal coarse solution

coarse model (—) and  $em^{TM}$  (●)



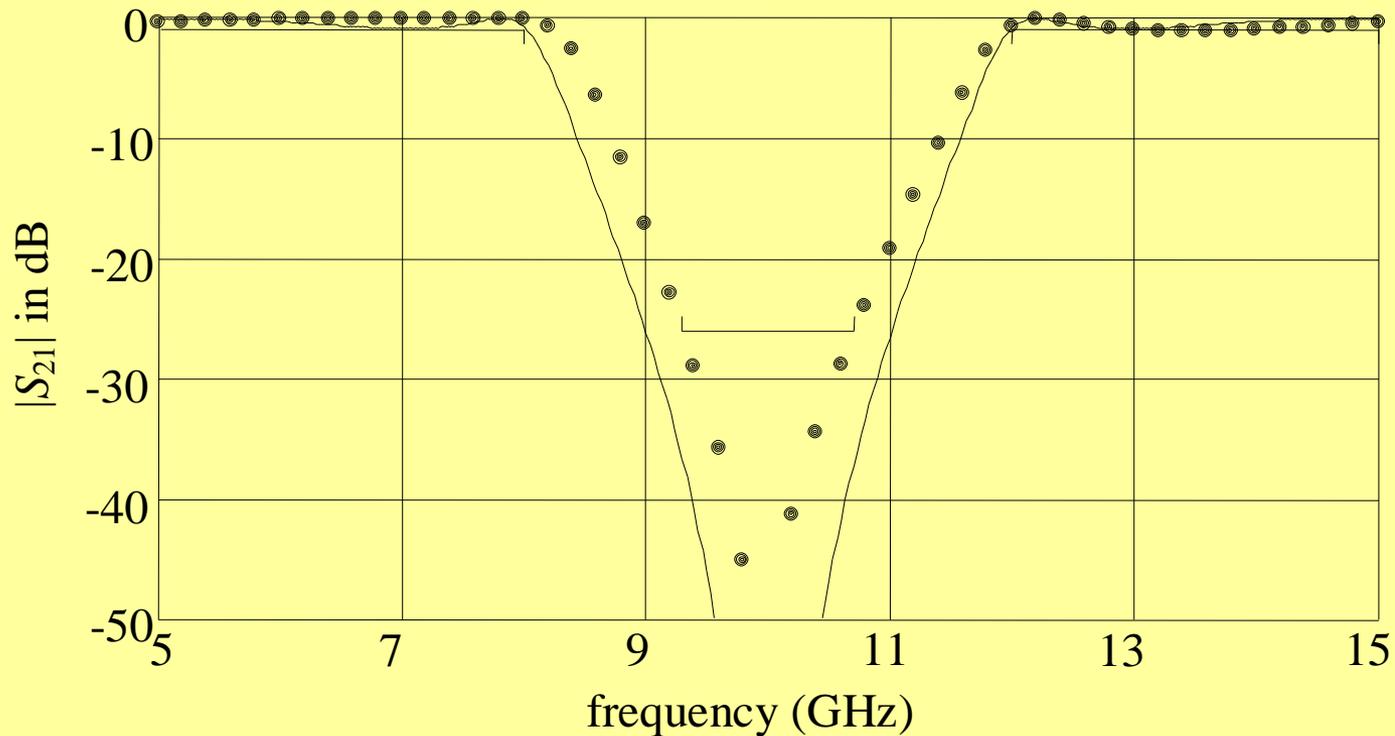
the initial  $2n+1$  points are chosen by performing sensitivity analysis on the coarse model: a 50% deviation from  $\mathbf{x}_c^*$  for  $W_1$ ,  $W_2$ , and  $L_0$  is used, while a 15% is used for  $L_1$ , and  $L_2$



## NSM Optimization of the Bandstop Filter (continued)

fine model response (●) at the next point predicted by the second NSM iteration and optimal coarse response (—)

(3LP:6-3-2,  $\omega, W_2$ )





## **Conclusions**

we describe an innovative algorithm for EM optimization based on Space Mapping technology and Artificial Neural Networks

Neural Space Mapping (NSM) optimization exploits our SM-based neuromodeling techniques

an initial mapping is established by performing upfront fine model analysis at a reduced number of base points

coarse model sensitivity is exploited to select those base points

the complexity of the SM-based neuromodels is gradually increased, starting with a 3-layer perceptron with 0 hidden neurons

the optimization of the current SM-based neuromodel predicts the next iterate