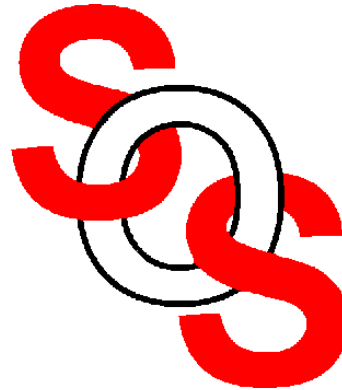


Expanded Space Mapping Optimization of Microwave Circuits Exploiting Preassigned Parameters

J.W. Bandler and M.A. Ismail

Simulation Optimization Systems Research Laboratory
McMaster University



Bandler Corporation, www.bandler.com
john@bandler.com



presented at

First Annual McMaster Optimization Conference: Theory and Applications
Hamilton, ON, August 2, 2001



Expanded Space Mapping Optimization Exploiting Preassigned Parameters

outline

Space Mapping concept

Key Preassigned Parameters (KPP)

coarse model decomposition

Expanded Space Mapping Design
Framework (ESMDF) algorithm

examples

conclusions



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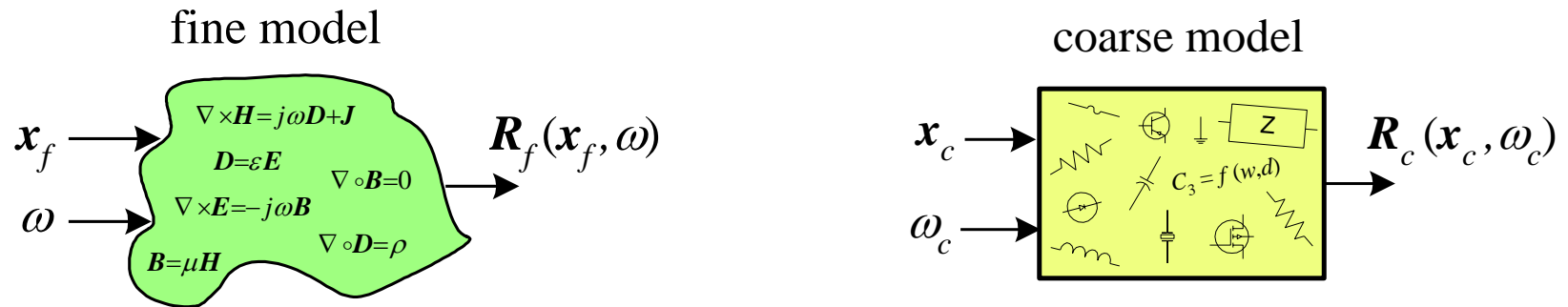
examples

conclusions



Space Mapping Concept

(Bandler et. al., 1994)



find

$$\begin{bmatrix} \mathbf{x}_c \\ \omega_c \end{bmatrix} = \mathbf{P}(\mathbf{x}_f, \omega)$$

such that

$$\mathbf{R}_c(\mathbf{x}_c, \omega_c) \approx \mathbf{R}_f(\mathbf{x}_f, \omega)$$



Key Preassigned Parameters (**KPP**)

the **KPP** are assumed to be non-optimizable

examples: dielectric constant, substrate height, etc.

the coarse model is very sensitive to **KPP**

the coarse model is calibrated to match the fine model by tuning the **KPP**

our algorithm establishes a mapping from some optimizable parameters to **KPP**

the mapping is updated iteratively



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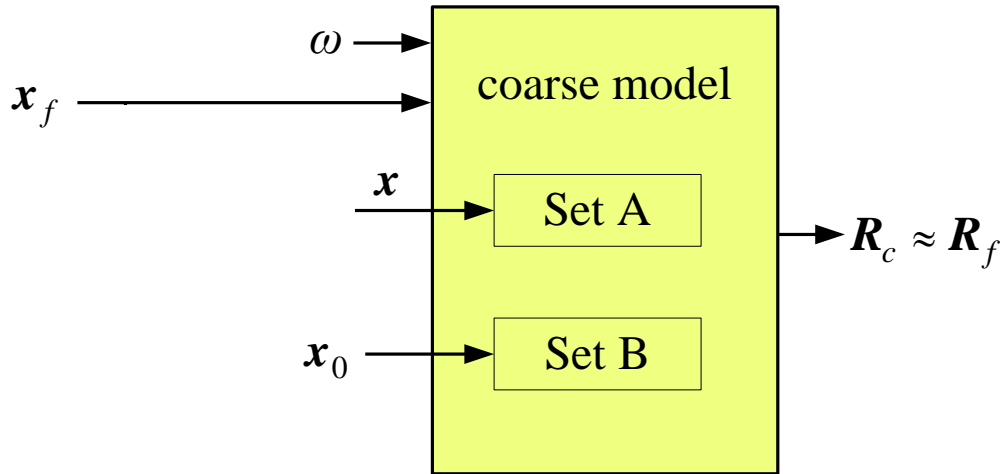
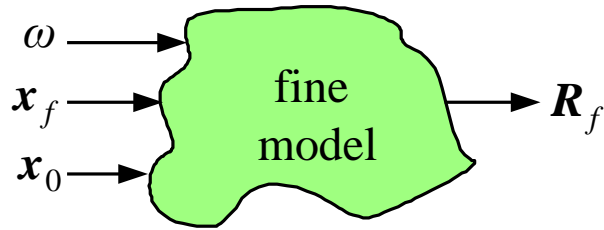
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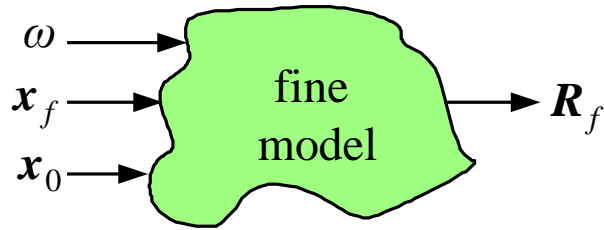


Key Preassigned Parameters (**KPP**)





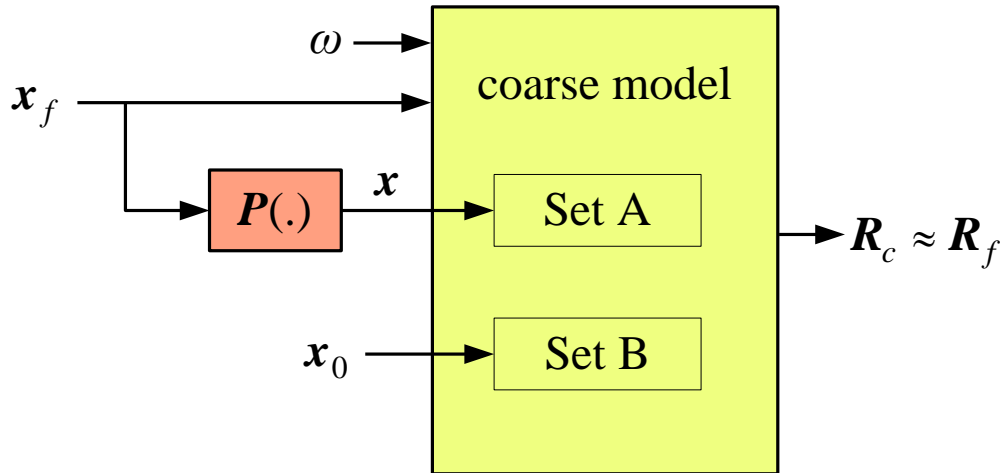
Key Preassigned Parameters (KPP)



$$\mathbf{x} = P(\mathbf{x}_r)$$

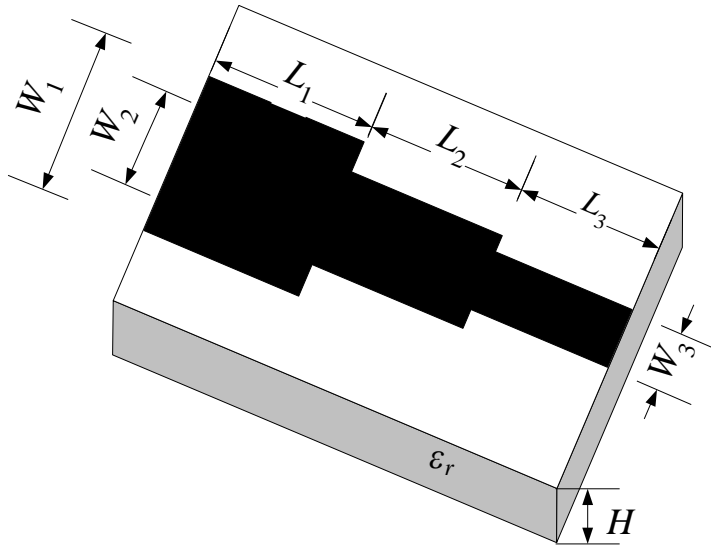
$$\mathbf{x}_f = [\mathbf{x}_r^T \quad \mathbf{x}_s^T]^T$$

$$\mathbf{x} = \mathbf{c} + \mathbf{B}_r \mathbf{x}_r$$





3:1 Microstrip Transformer



$$\mathbf{x}_f = [W_1 \quad W_2 \quad W_3 \quad L_1 \quad L_2 \quad L_3]^T$$

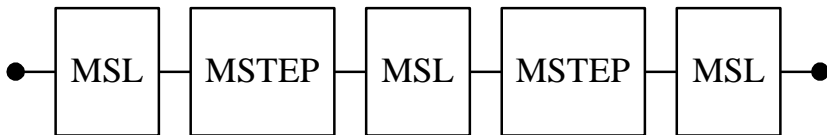
$$\mathbf{x}_r = [W_1 \quad W_2 \quad W_3]^T$$

$$\mathbf{x} = [\mathbf{x}_1^T \quad \mathbf{x}_3^T \quad \mathbf{x}_5^T]^T \quad \mathbf{x}_i = [\varepsilon_{ri} \quad H_i]^T$$

$$\mathbf{x} = \mathbf{c} + \mathbf{B}_r \mathbf{x}_r$$

$$\varepsilon_r = 9.7, \quad H = 25 \text{ mil}$$

comp. #1 comp. #2 comp. #3 comp. #4 comp. #5





Coarse Model Decomposition

x_i represents the **KPP** of the i th component, $i \in I = \{1, 2, \dots, N\}$

N is the number of coarse model components

Set A: contains “relevant” coarse model components

Set B: contains coarse model components for which the coarse model is insensitive to their **KPP**



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Coarse Model Decomposition

Step 1 for all $i \in I = \{1, 2, \dots, N\}$ evaluate

$$S_i = \left\| \left(\frac{\partial \mathbf{R}_c^T}{\partial \mathbf{x}_i} \mathbf{D} \right)^T \right\|_F, \quad \mathbf{D} = \text{diag}(\mathbf{x}_0)$$

Step 2 evaluate

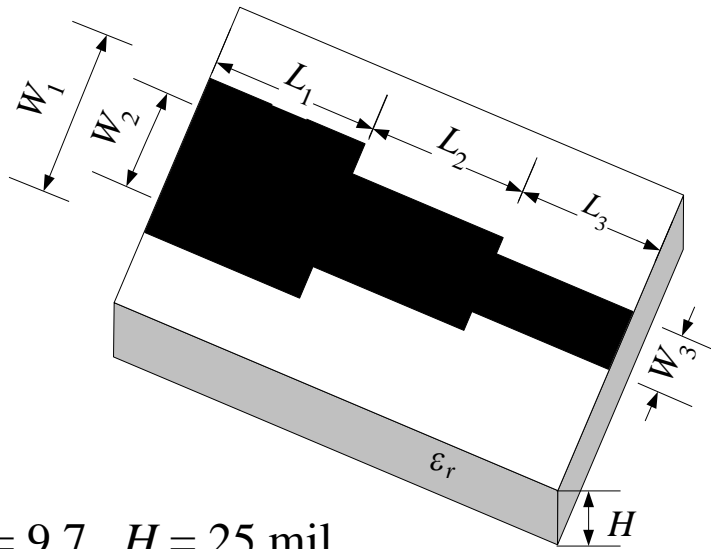
$$\hat{S}_i = \frac{S_i}{\max_{j \in I} \{S_j\}}, \quad i \in I$$

Step 3 put the i th component in Set A if $\hat{S}_i \geq \beta$
otherwise put it in Set B ($\beta = 0.2$)

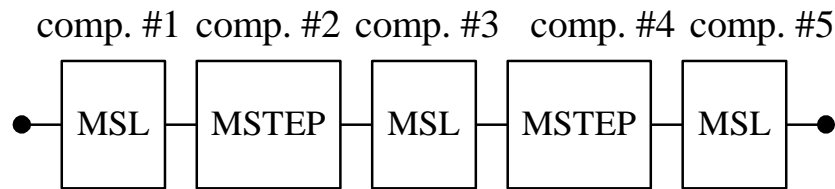


Coarse Model Decomposition

example: 3:1 microstrip transformer



$\epsilon_r = 9.7$, $H = 25$ mil



$$\mathbf{x}_i = [\epsilon_{ri} \quad H_i]^T, \quad i = 1, \dots, 5$$

$$S_i = \left\| \left(\frac{\partial \mathbf{R}_c^T}{\partial \mathbf{x}_i} \mathbf{D} \right)^T \right\|_F$$

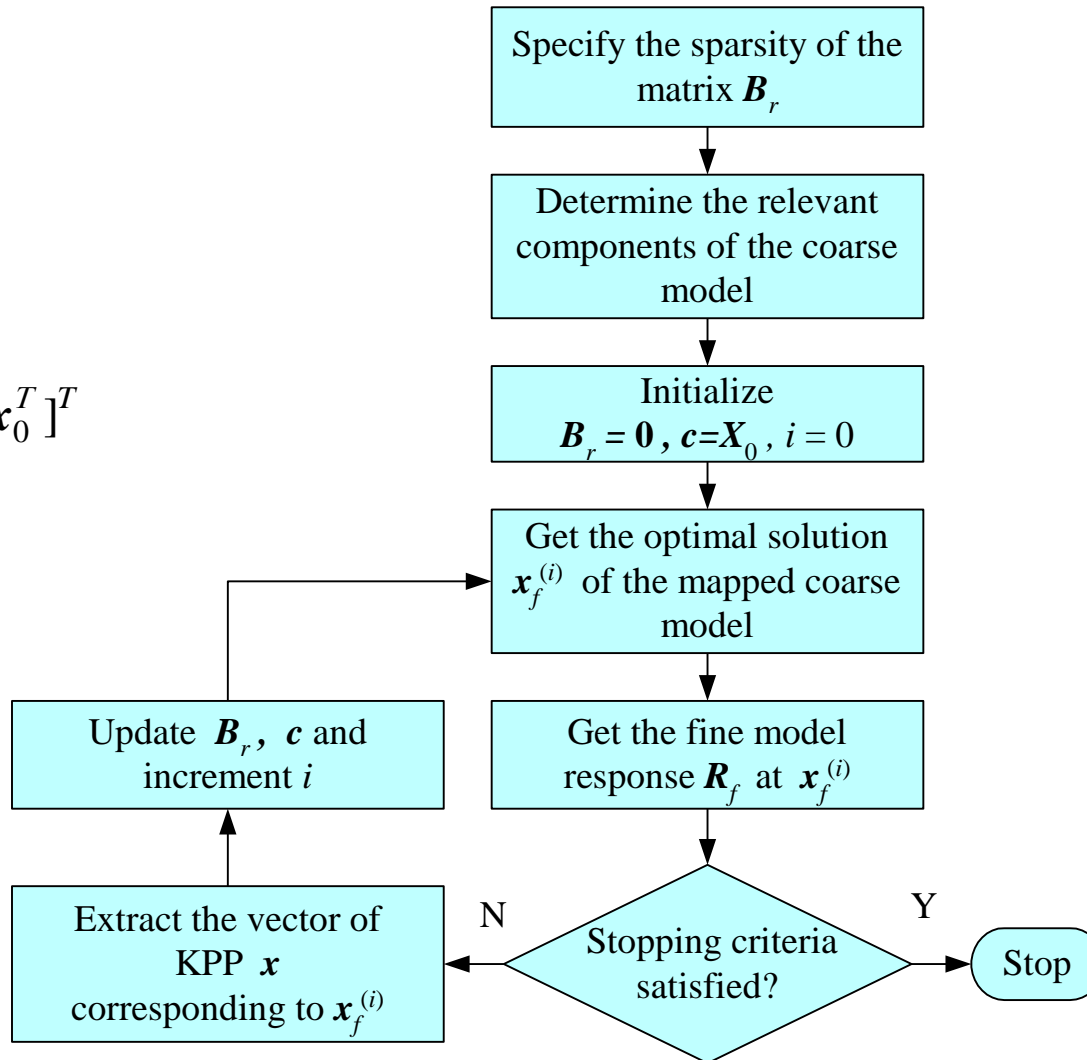
Component #	\hat{S}_i
1	1
2	0.05
3	0.39
4	0.04
5	0.77

hence $\mathbf{x} = [\mathbf{x}_1^T \quad \mathbf{x}_3^T \quad \mathbf{x}_5^T]^T$



ESMDF Algorithm

$$\mathbf{X}_0 = [\mathbf{x}_0^T \ \mathbf{x}_0^T \ \cdots \ \mathbf{x}_0^T]^T$$





Expanded Space Mapping Optimization Algorithm

mapped coarse model optimization

$$\mathbf{x}_f^{(i)} = \arg \min_{\mathbf{x}_f} U(\mathbf{R}_c(\mathbf{x}_f, \mathbf{x}))$$

$$\mathbf{x} = \mathbf{B}_r \mathbf{x}_r + \mathbf{c}$$

$$\mathbf{x}_f = [\mathbf{x}_r^T \quad \mathbf{x}_s^T]^T$$



Expanded Space Mapping Optimization Algorithm

mapped coarse model optimization
exploiting trust region methodology

$$\mathbf{h} = \arg \min_{\mathbf{h}} U(\mathbf{R}_c(\mathbf{x}_f^{(i-1)} + \mathbf{h}), \mathbf{B}_r \mathbf{x}_r^{(i-1)} + \mathbf{c}))$$

subject to $\|\Lambda \mathbf{h}\| \leq \delta$

successful iteration

$$\mathbf{x}_f^{(i)} = \begin{cases} \mathbf{x}_f^{(i-1)} + \mathbf{h} & \text{if } U(\mathbf{R}_f(\mathbf{x}_f^{(i-1)} + \mathbf{h})) < U(\mathbf{R}_f(\mathbf{x}_f^{(i-1)})) \\ \mathbf{x}_f^{(i-1)} & \text{otherwise} \end{cases}$$



Expanded Space Mapping Optimization Algorithm

KPP extraction

$$\mathbf{x}^{(i)} = \arg \min_{\mathbf{x}} \left\| \mathbf{R}_f(\mathbf{x}_f^{(i)}) - \mathbf{R}_c(\mathbf{x}_f^{(i)}, \mathbf{x}) \right\|$$

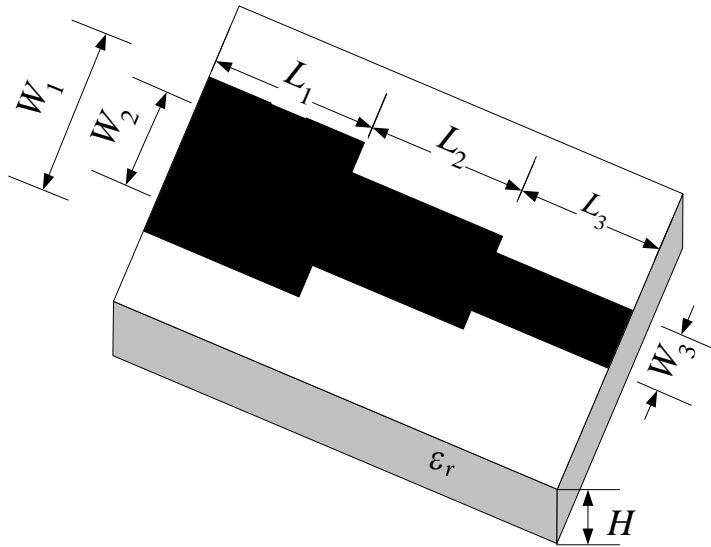
stopping criteria

$$\left\| \mathbf{x}_f^{(i)} - \mathbf{x}_f^{(i-1)} \right\| \leq \varepsilon_1$$

$$\left\| \mathbf{R}_f(\mathbf{x}_f^{(i)}) - \mathbf{R}_c(\mathbf{x}_f^{(i)}, \mathbf{x}^{(i-1)} + \mathbf{B}_r^{(i-1)} \mathbf{h}_r^{(i)}) \right\| \leq \varepsilon_2$$



3:1 Microstrip Transformer



load impedance is 50Ω

source impedance is 150Ω

“fine” model: Sonnet’s *em*
parameterized by OSA’s Empipe



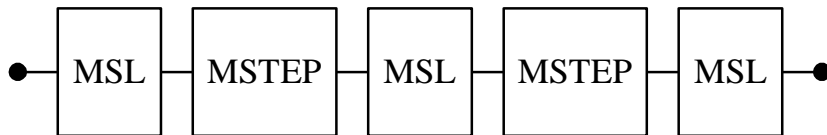
“coarse” model: OSA90/hope



specifications

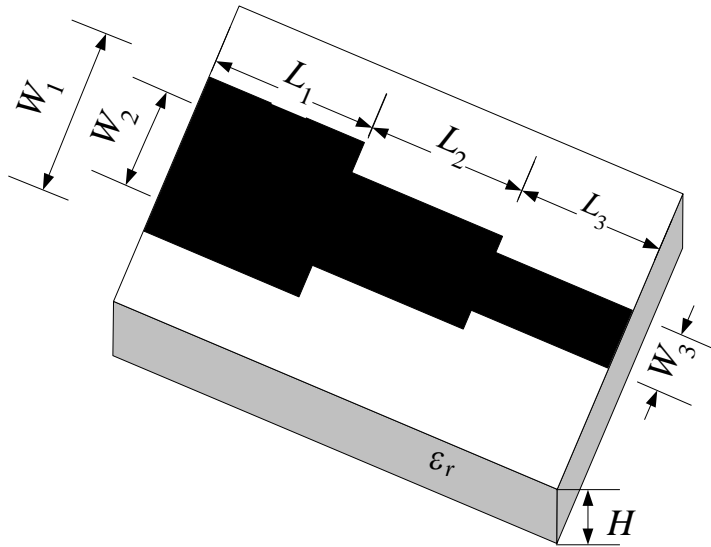
$$|S_{11}| \leq -20 \text{ dB for } 5 \text{ GHz} \leq \omega \leq 15 \text{ GHz}$$

comp. #1 comp. #2 comp. #3 comp. #4 comp. #5





3:1 Microstrip Transformer



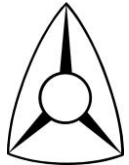
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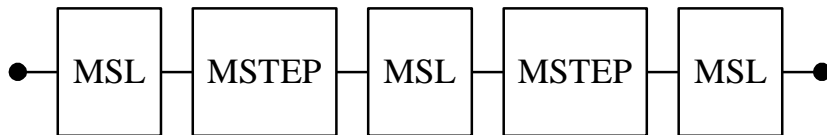
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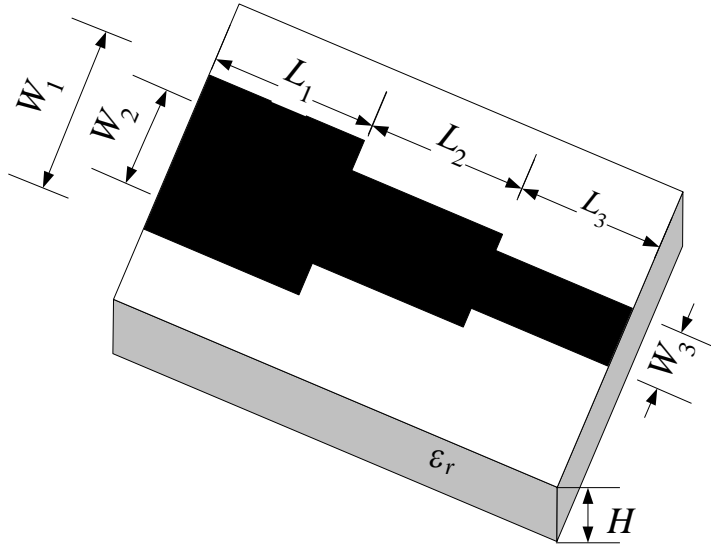
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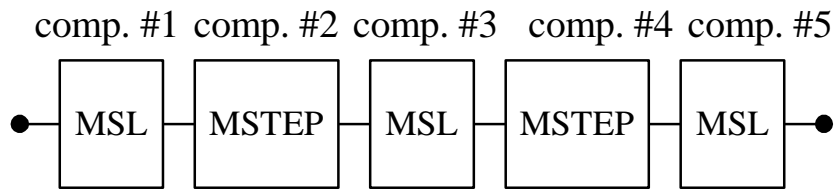


$$\mathbf{x}_f = [W_1 \quad W_2 \quad W_3 \quad L_1 \quad L_2 \quad L_3]^T$$

$$\mathbf{x}_r = [W_1 \quad W_2 \quad W_3]^T$$

$$\mathbf{x} = [\mathbf{x}_1^T \quad \mathbf{x}_3^T \quad \mathbf{x}_5^T]^T \quad \mathbf{x}_i = [\varepsilon_{ri} \quad H_i]^T$$

$$\mathbf{x} = \mathbf{c} + \mathbf{B}_r \mathbf{x}_r$$

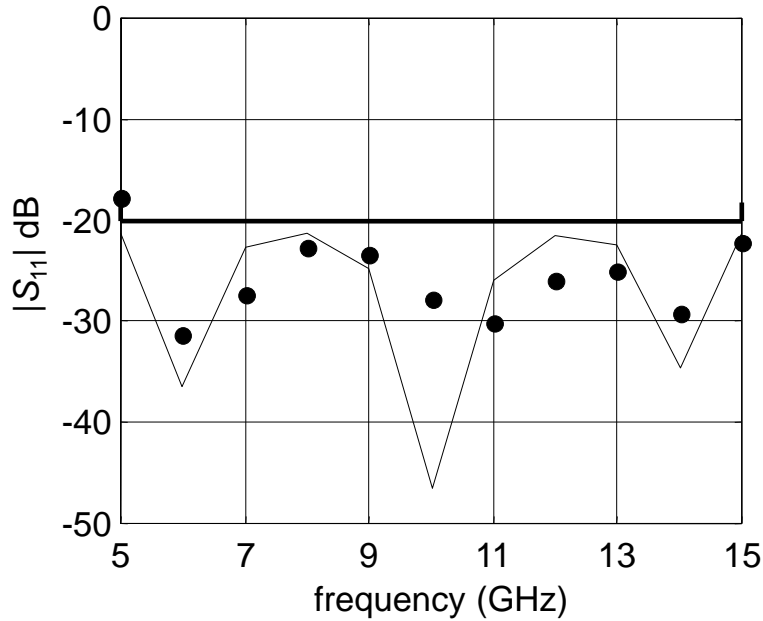


$$\begin{bmatrix} \varepsilon_{r1} \\ H_1 \\ \varepsilon_{r3} \\ H_3 \\ \varepsilon_{r5} \\ H_5 \end{bmatrix} = \mathbf{c} + \begin{bmatrix} x & 0 & 0 \\ x & 0 & 0 \\ 0 & x & 0 \\ 0 & x & 0 \\ 0 & 0 & x \\ 0 & 0 & x \end{bmatrix} \begin{bmatrix} W_1 \\ W_2 \\ W_3 \end{bmatrix}$$

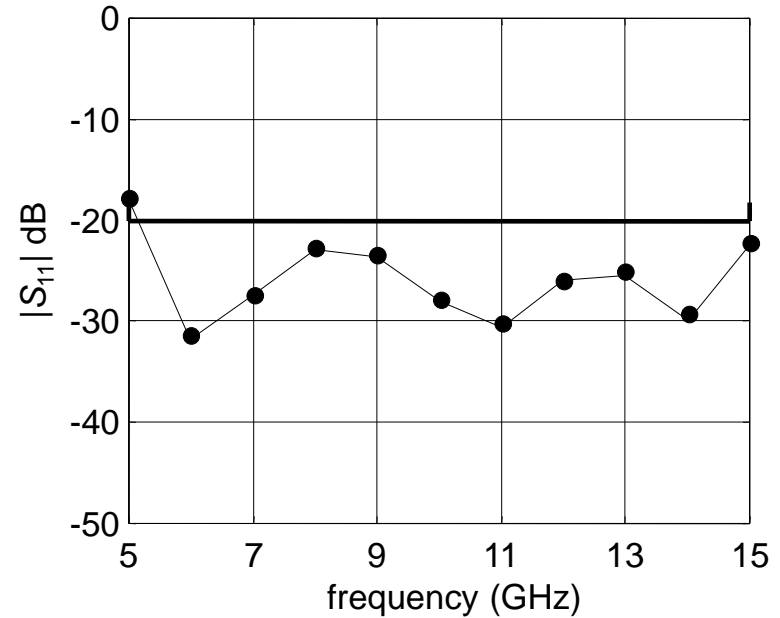


3:1 Microstrip Transformer

initial iteration



before **KPP** extraction

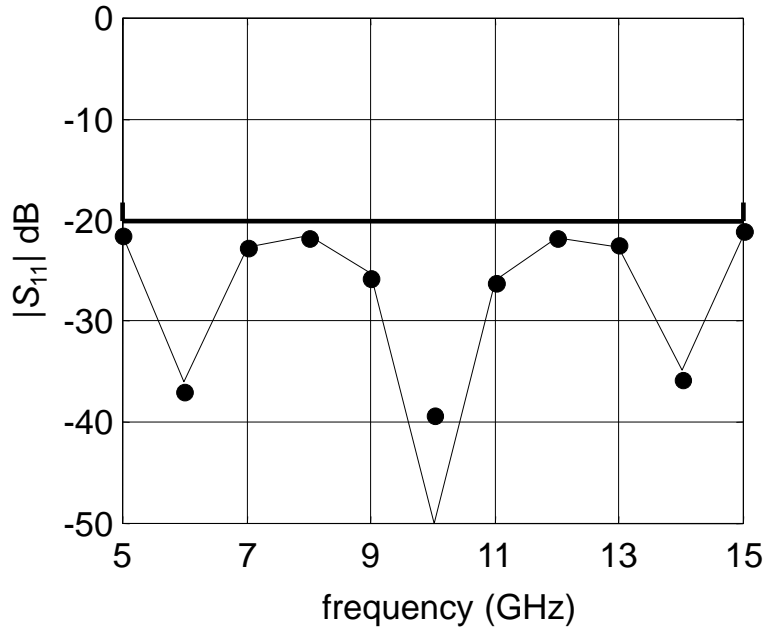


after **KPP** extraction

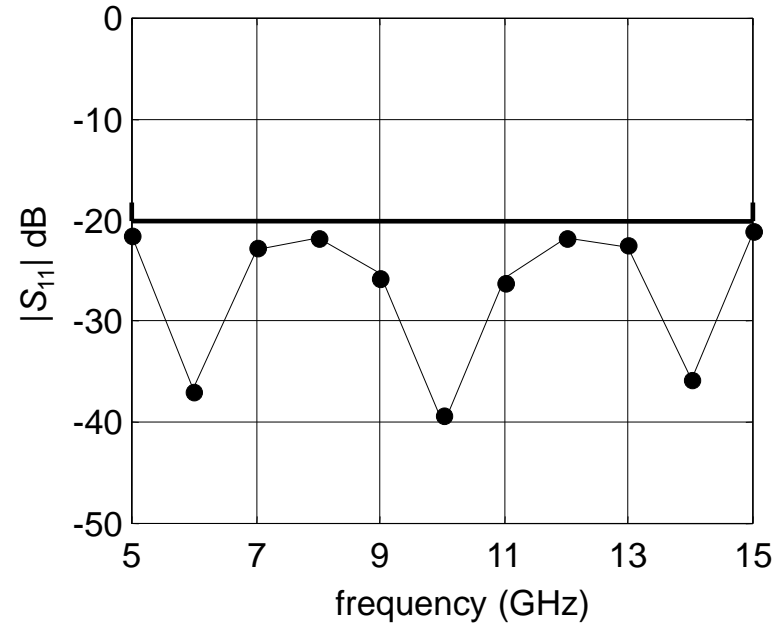


3:1 Microstrip Transformer

next iteration



before **KPP** extraction

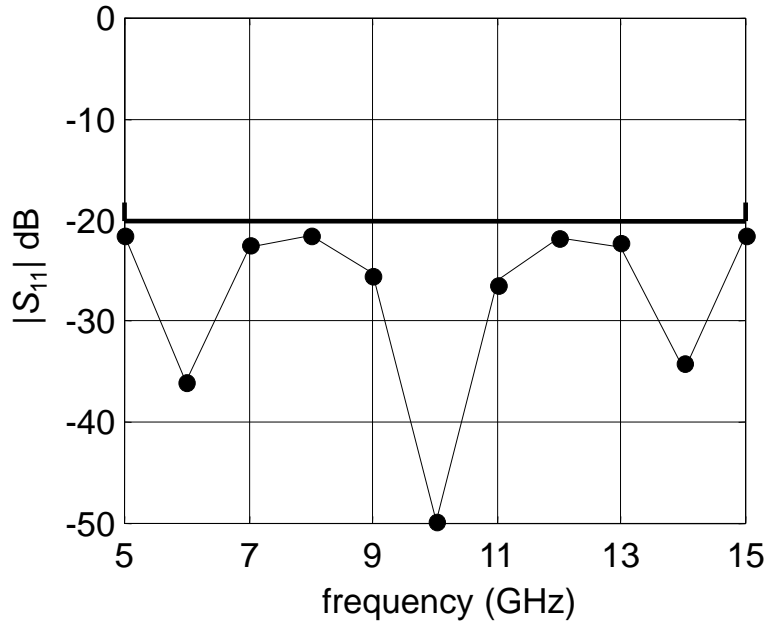


after **KPP** extraction

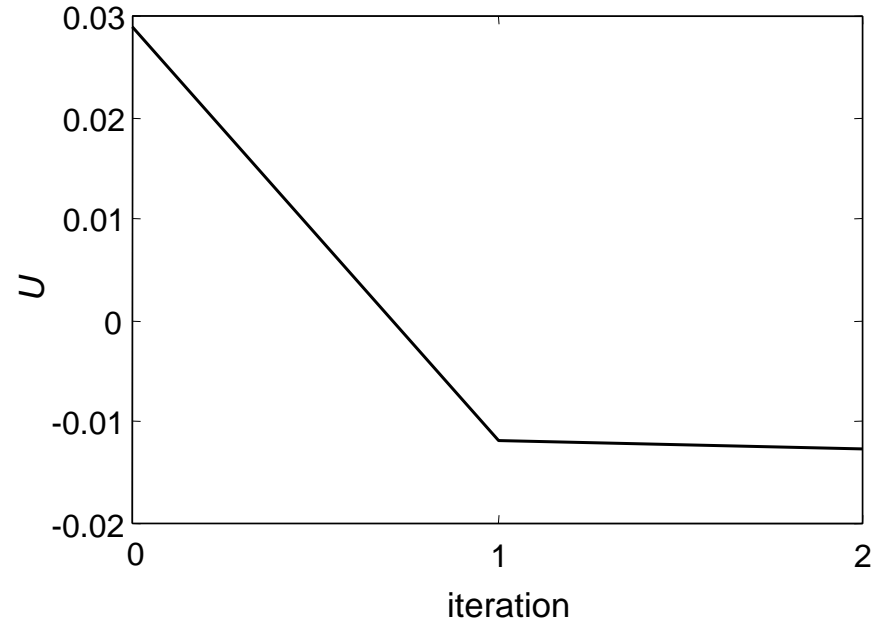


3:1 Microstrip Transformer

final iteration



fine model objective function

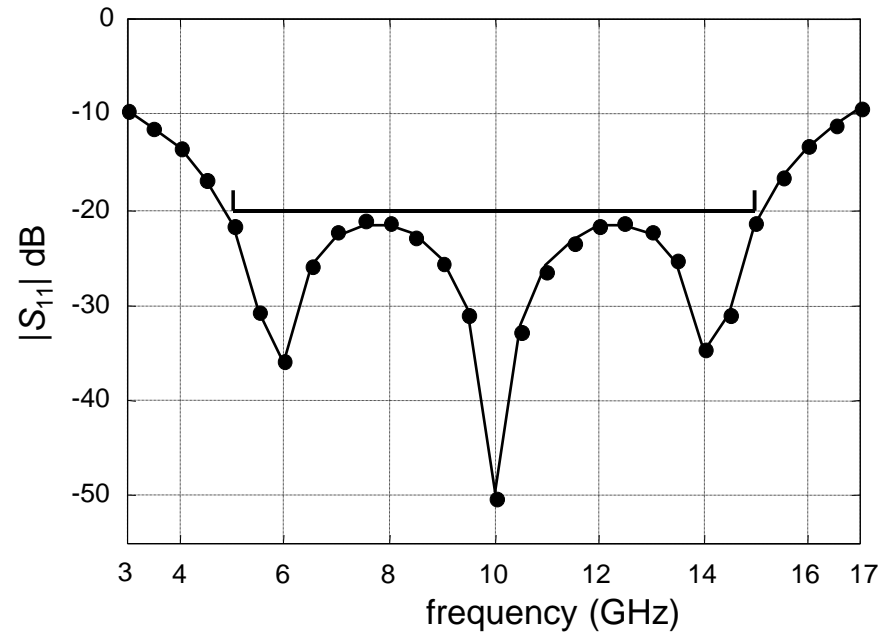


elapsed time by the **ESMDF** algorithm: 17 min



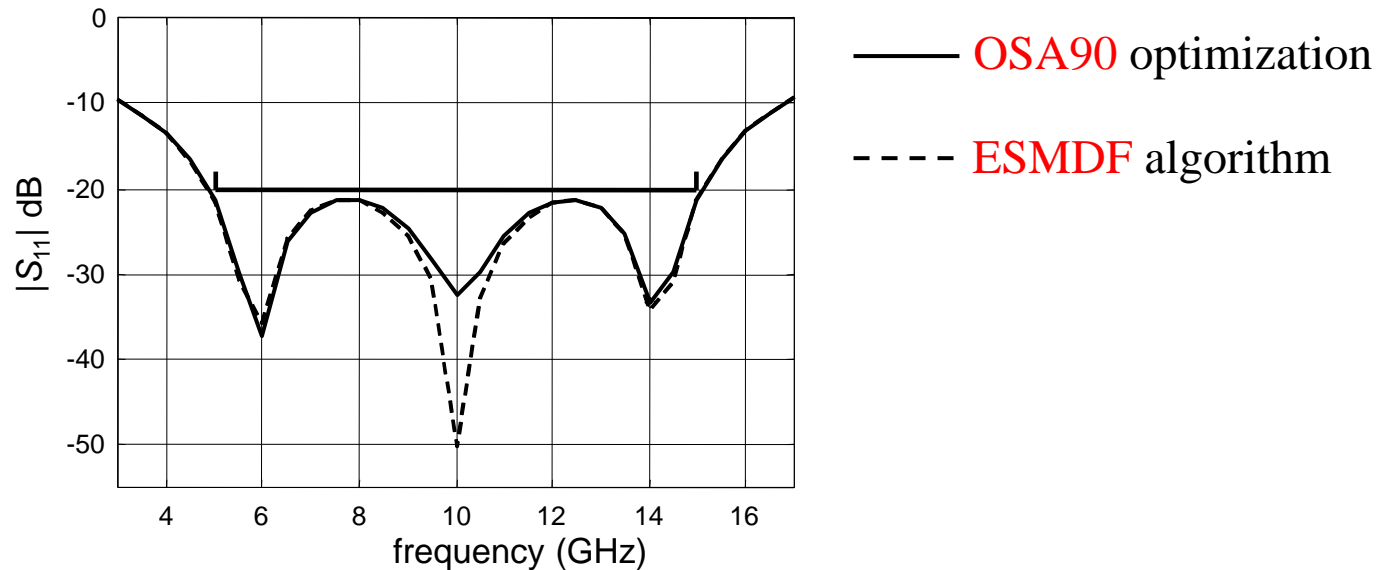
3:1 Microstrip Transformer

detailed frequency sweep of the optimal response





3:1 Microstrip Transformer Direct EM Optimization

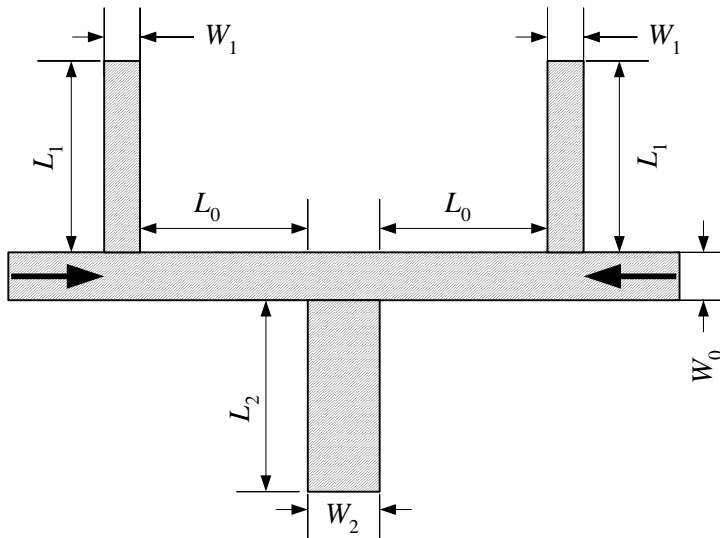


elapsed time by **OSA90** minimax optimization (using quadratic interpolation): 153 min

elapsed time by the **ESMDF** algorithm: 17 min



Microstrip Bandstop Filter with Open Stubs



“fine” model: Momentum
(Agilent EEsof EDA)



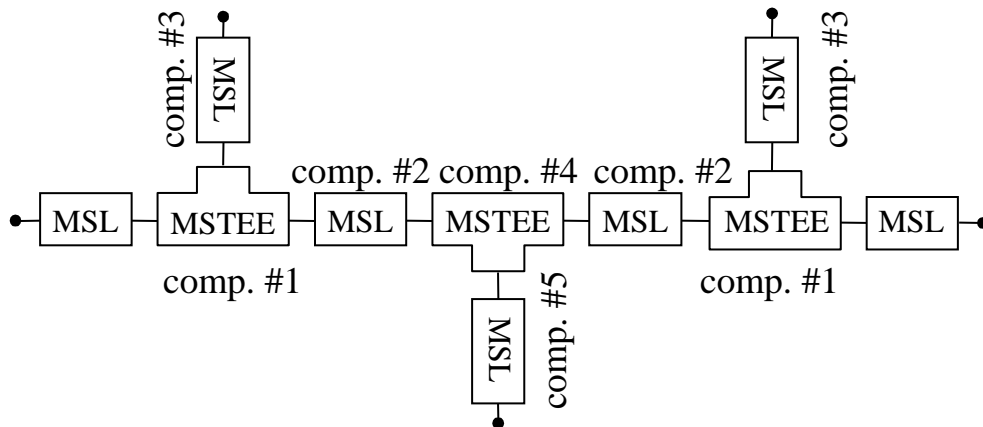
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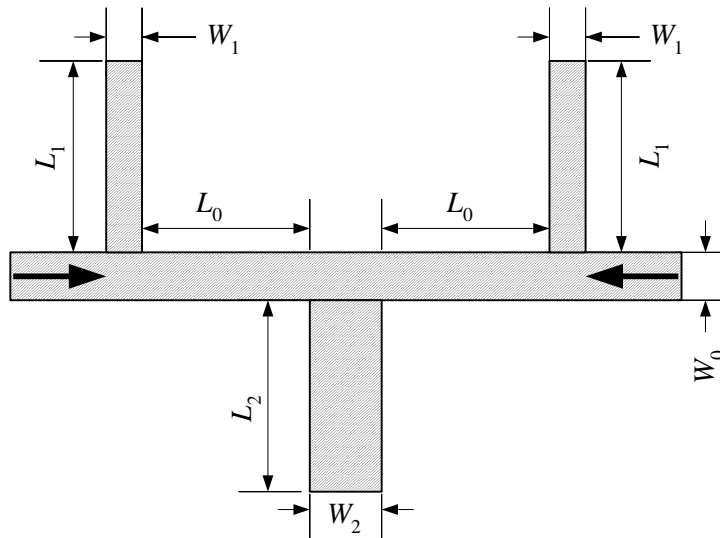
$$|S_{21}| \geq -1 \text{ dB for } \omega \geq 12 \text{ GHz and } \omega \leq 8 \text{ GHz}$$

$$|S_{21}| \leq -25 \text{ dB for } 9 \text{ GHz} \leq \omega \leq 11 \text{ GHz}$$





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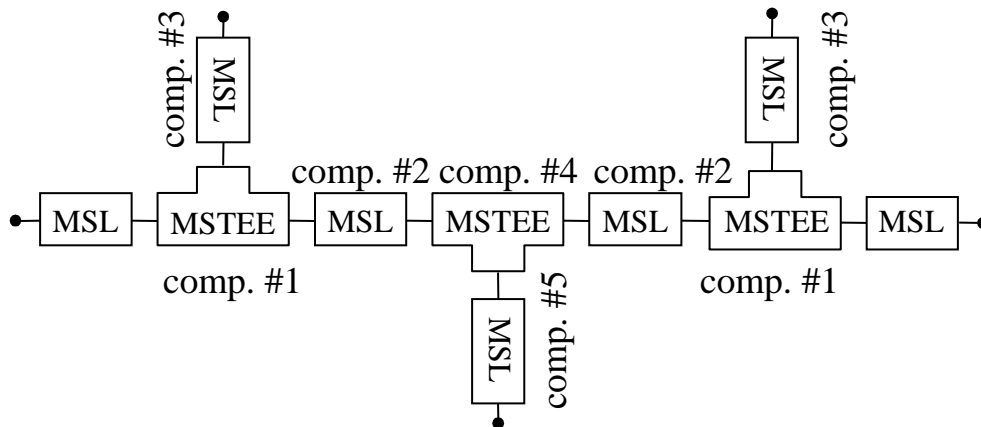


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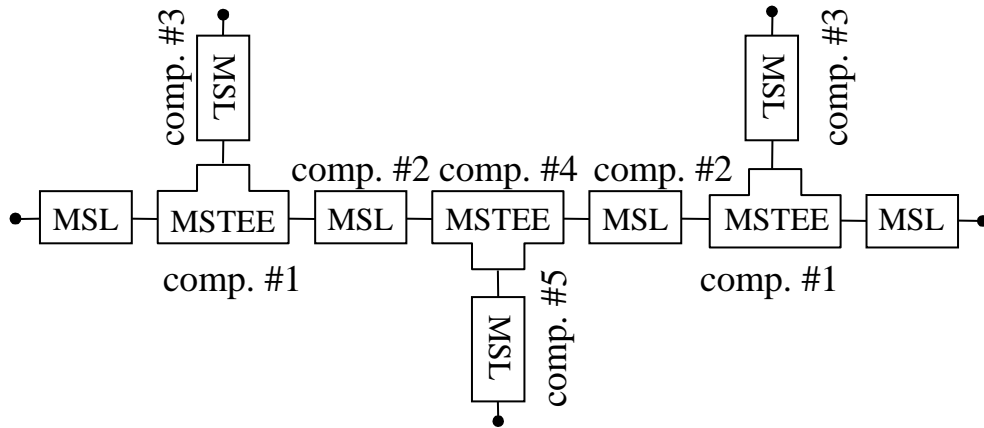
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Microstrip Bandstop Filter with Open Stubs

coarse model decomposition



$\epsilon_r = 9.4, H = 25 \text{ mil}$

$\mathbf{x}_i = [\epsilon_{ri} \quad H_i]^T, \quad i = 1, \dots, 5$

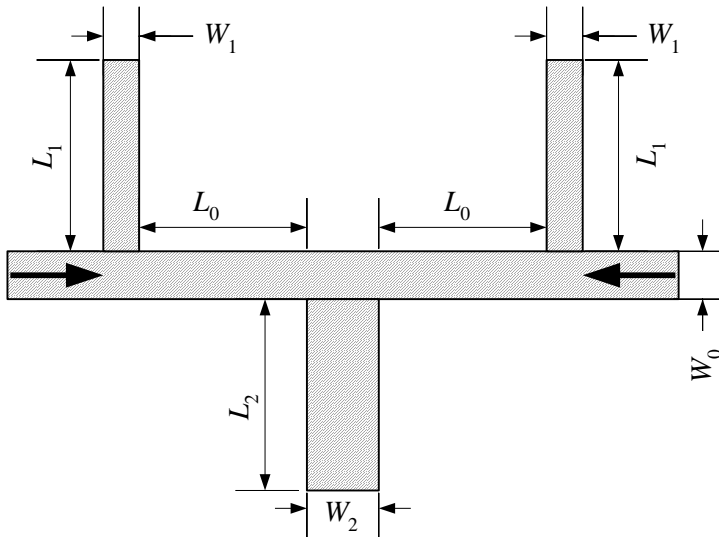
$$S_i = \left\| \left(\frac{\partial \mathbf{R}_c^T}{\partial \mathbf{x}_i} \mathbf{D} \right)^T \right\|_F$$

Component #	\hat{S}_i
1	0.1420
2	0.6359
3	0.8395
4	0.1858
5	1.0000

hence $\mathbf{x} = [\mathbf{x}_2^T \quad \mathbf{x}_3^T \quad \mathbf{x}_5^T]^T$



Microstrip Bandstop Filter with Open Stubs



$$\mathbf{x}_f = [W_1 \quad W_2 \quad L_0 \quad L_1 \quad L_2]^T$$

$$\mathbf{x}_r = [W_1 \quad W_2]^T$$

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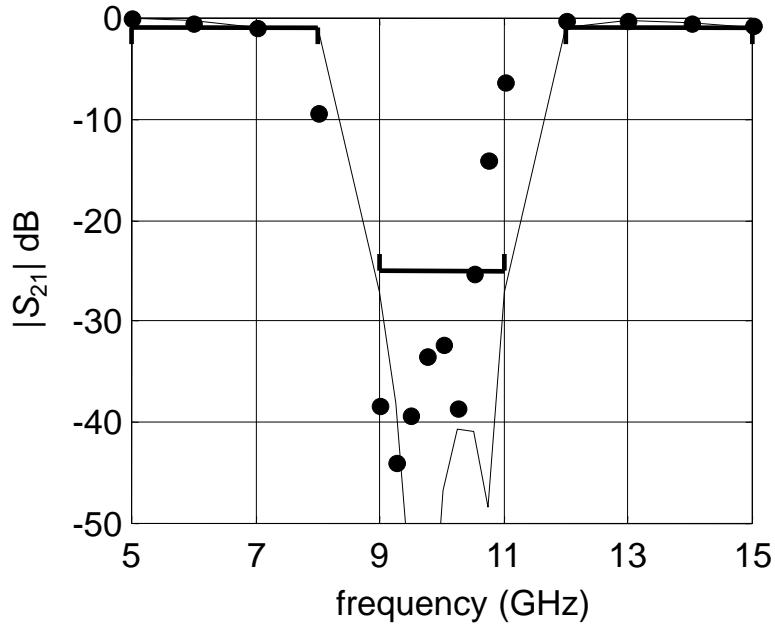
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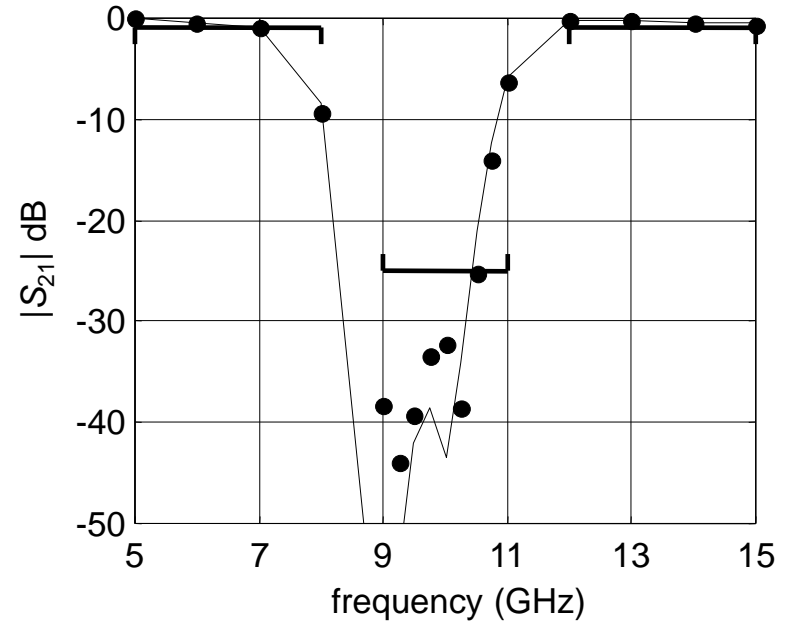


Microstrip Bandstop Filter with Open Stubs

initial response



before **KPP** extraction

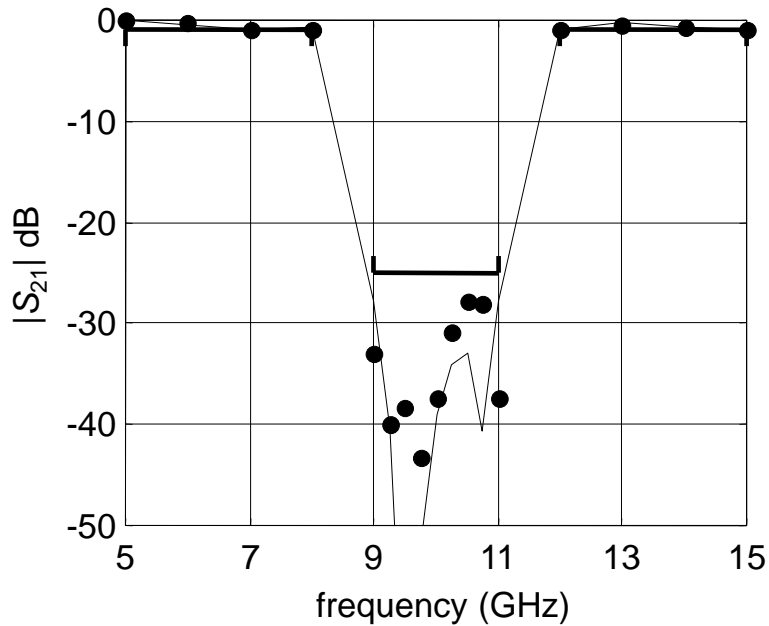


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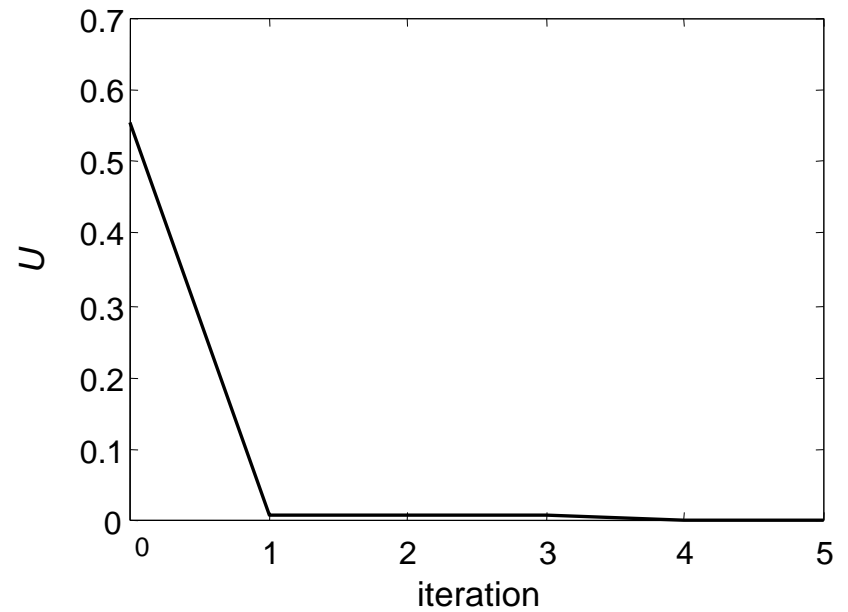


Microstrip Bandstop Filter with Open Stubs

final response



fine model objective function

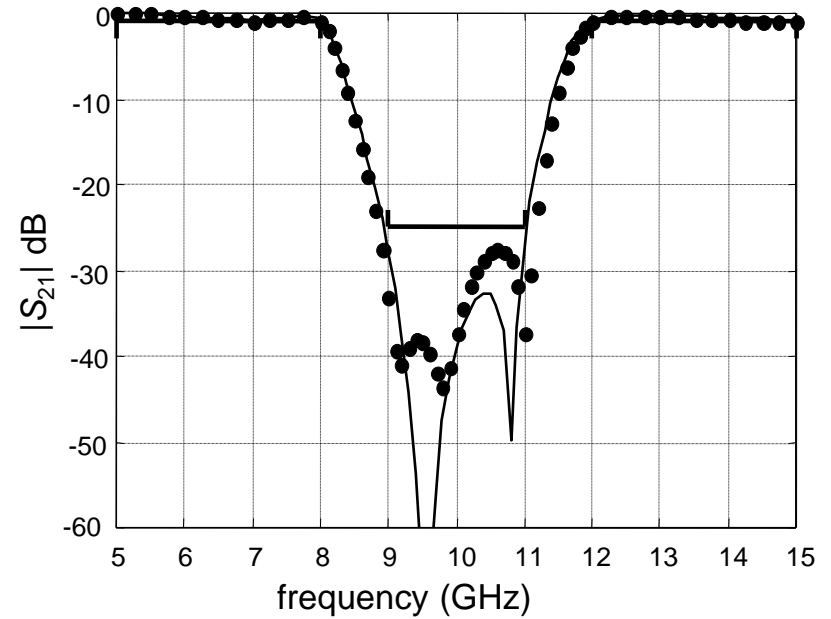
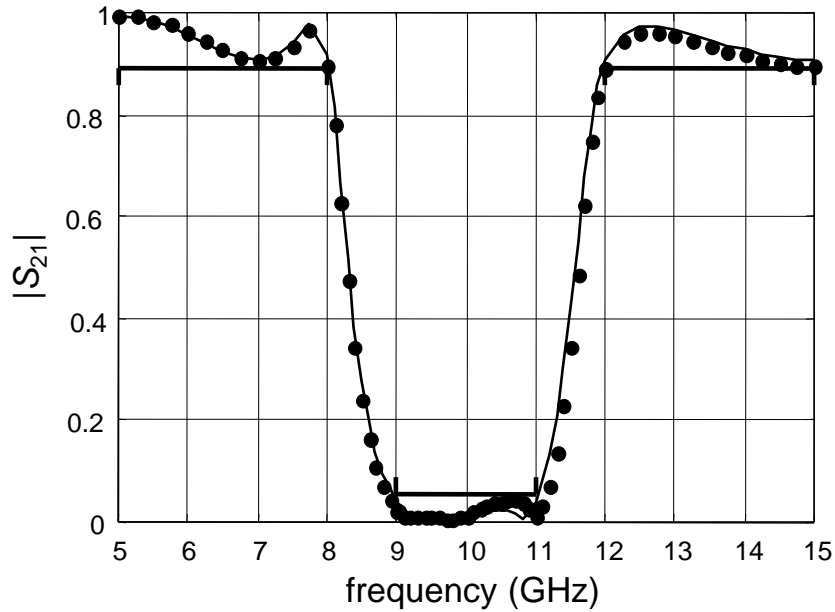


elapsed time by the **ESMDF** algorithm: 1.5 hr



Microstrip Bandstop Filter with Open Stubs

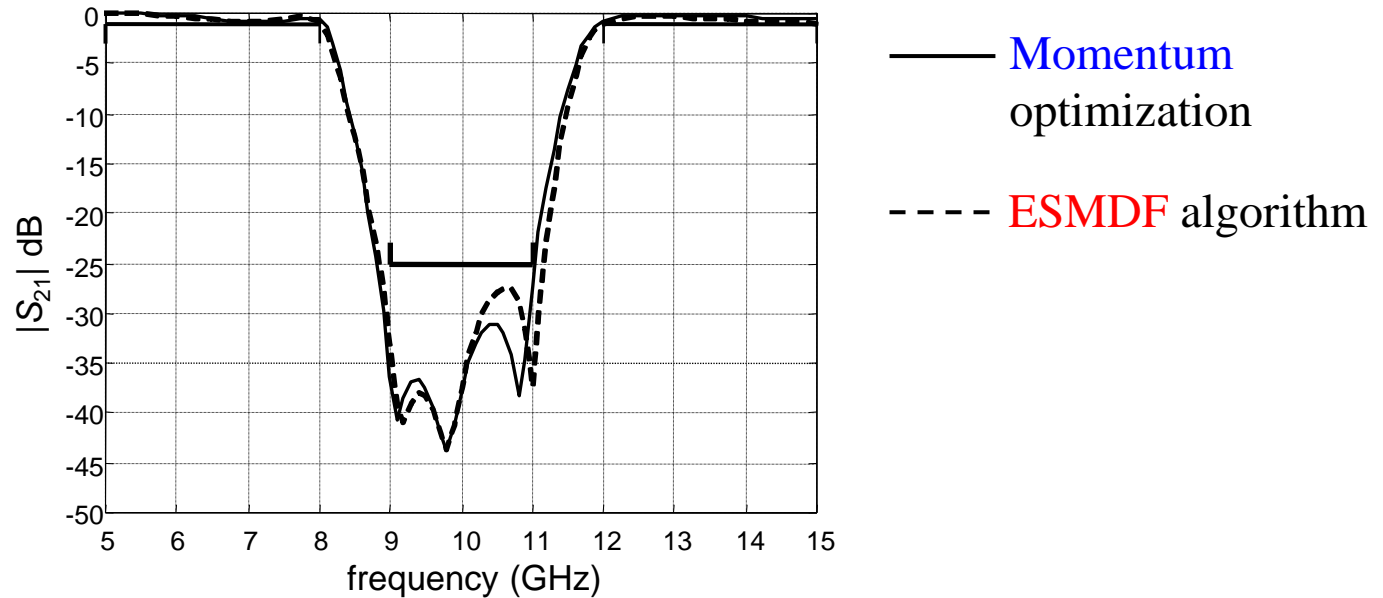
detailed frequency sweep at the optimal solution





Microstrip Bandstop Filter with Open Stubs

direct optimization



elapsed time by **Momentum** optimization (using quadratic interpolation): 10 hr

elapsed time by the **ES MDF** algorithm: 1.5 hr



Conclusions

we expand the original **space mapping** approach

we exploit key preassigned parameters (**KPP**)

we tune the **KPP** in “relevant components” of the coarse model
to align it with the fine model

a mapping is established from the optimization variables to the **KPP**

the mapping is updated iteratively



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3:1 Microstrip Transformer Yield Analysis

utilize the mapped coarse model obtained at the final iteration

assume a uniform distribution with 0.25 mil tolerance on all six geometrical parameters

estimate the yield at the solution obtained by the ESMDF algorithm

mapped coarse model: 78 %

fine model: 79%



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